

# NEPCon Evaluation of GREAT SPIRIT LIMITED Compliance with the SBP Framework: Public Summary Report

Main (Initial) Audit

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## Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see  
[www.sbp-cert.org](http://www.sbp-cert.org)*

### *Document history*

*Version 1.0: published 26 March 2015*

*Version 1.1: published 30 January 2018*

*Version 1.2: published 4 April 2018*

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# 1 Overview

CB Name and contact: NEPCon OÜ, Filosoofi 31, 50108 Tartu, Estonia

Primary contact for SBP: Ondrej Tarabus ot@nepcon.org, +420 606 730 382

Current report completion date: 22/Jul/2019

Report authors: : Natalia Zaladinova

Name of the Company: GREAT SPIRIT LIMITED, legal address Rm 1104 Crawford House, 70 Queen's Rd. Central, Central Hong Kong; post address: HKG-Central Building Level 9 &10 office number 962, 1-3 Pedder Street, central Hong-Kong; office address in Russia: 664000, Russia, Irkutsk, ul. October Revolution ¼ office 608, business center Terra

Company contact for SBP: Airat Valiakhmetov, SBP responsible (tel. +7 908 665 0512; email: [aratigirma@mail.ru](mailto:aratigirma@mail.ru) )

Certified Supply Base: Russia, Irkutsk oblast

SBP Certificate Code: SBP-07-24

Date of certificate issue: 22/Jul/2019

Date of certificate expiry: 21/Jul/2024

This report relates to the Main (Initial) Audit

## 2 Scope of the evaluation and SBP certificate

The SBP certificate scope: Trading of wood pellets for use in energy production, including transport from Biomass Producer in Russia to different end points all over the world. The certificate scope does not include Supply Base Evaluation.

The Organization holds valid FSC certificate with transfer system implemented.

### 3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the Biomass Trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations;
- Review of the transportation, storage and deliverance processes

## 4 SBP Standards utilised

### 4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

### 4.2 SBP-endorsed Regional Risk Assessment

Not applicable.

## 5 Description of Company, Supply Base and Forest Management

### 5.1 Description of Company

Organization is a trader without physical possession of wood with its legal address in Hong Kong. Its representative office in Russia is located in Irkutsk sourcing the biomass from two pellet producer in Russia, Irkutsk oblast. The material is delivered by suppliers by train to S.Petersburg sea port and sold to organization, which then sells it to its customers. Delivery conditions are FCA, S.Petersburg sea port (Incoterms 2010).

### 5.2 Description of Company's Supply Base

Not applicable – organisation is trader.

### 5.3 Detailed description of Supply Base

Not applicable – organisation is trader.

### 5.4 Chain of Custody system

The Organization has implemented the FSC transfer system with biomass (wood pellets) in the scope of the certificate - FC-COC-001110 <https://info.fsc.org/details.php?id=a02f300000gXED3AAO&type=certificate> . The process covers trade with biomass (FSC 100%, FSC Mix Credit and FSC Controlled Wood claims), without physical possession. Non-certified biomass (other biomass) is not accepted. Please also see comments in section 5.1 above.

## 6 Evaluation process

### 6.1 Timing of evaluation activities

Desk evaluation was conducted on July 04, 2019. Evaluation activities included documents review at NEPCon office and staff interviews by skype and phone.

Activity	Location	Date/time
Review documentation before the assessment	Office NEPCon	01/07/2019 10.00-14.00
Opening meeting by skype	Office NEPCon	04/07/2019 13.30-13.45
Staff interviews by skype and phone	Office NEPCon	04/07/2019 13.45 – 16.00
Closing meeting by skype	Office NEPCon	04/07/2019 16.00 – 16.15

### 6.2 Description of evaluation activities

Composition of audit team:

Auditor(s), roles	Qualifications
Natalia Zaladinova	Lead auditor NEPCon SBP lead auditor. She successfully passed SBP auditor training course in December 2016 in Amsterdam and participated in a number of SBP assessments and annual audits in Russia.

This was a desk assessment conducted by telephone and via Skype. Prior to the assessment the Organization provided the auditor with documentation related to SBP certification. The auditor reviewed and analyzed the received documents, interviewed responsible staff members and finally provided preliminary results of the assessment.

Impartiality commitment: NEPCon commits to using impartial auditors and our clients are encouraged to inform NEPCon management if violations of this are noted. Please see our Impartiality Policy here: <http://www.nepcon.org/impartiality-policy>

## 6.3 Process for consultation with stakeholders

No stakeholder consultations conducted prior, during and after this assessment (n/a for trader).

## 7 Results

### 7.1 Main strengths and weaknesses

Strengths: limited number of staff; transfer system of FSC and SBP claims.

Weaknesses: none.

### 7.2 Rigour of Supply Base Evaluation

Not applicable.

### 7.3 Collection and Communication of Data

The Organization will forward the material by railroad. Energy (electricity and diesel) used to deliver the material is identified by the Organization. Organization will forward the energy use data received from its supplier to its customer.

### 7.4 Competency of involved personnel

All staff involved into SBP certification and interviewed during assessment showed good understanding of the requirements in relation to SBP certification and of the FSC CoC system.

### 7.5 Stakeholder feedback

No stakeholder consultations conducted prior, during and after this assessment (n/a for traders).

### 7.6 Preconditions

None

## 8 Review of Company's Risk Assessments

*Describe how the Certification Body assessed risk for the Indicators. Summarise the CB's final risk ratings in Table 1, together with the Company's final risk ratings. Default for each indicator is 'Low', click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.*

Not applicable.

## 9 Review of Company's mitigation measures

Not applicable

## 10 Non-conformities and observations

*Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:*

- *applicable requirement(s)*
- *grading of the non-conformity (major or minor) or observation with supporting rationale*
- *timeframe for resolution of the non-conformity*
- *a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.*

None

## 11 Certification decision

<b>Based on the auditor’s recommendation and the Certification Body’s quality review, the following certification decision is taken:</b>	
<b>Certification decision:</b>	Certification approved
<b>Certification decision by (name of the person):</b>	Pilar Gorría Serrano
<b>Date of decision:</b>	22/Jul/2019
<b>Other comments:</b>	<i>Click or tap here to enter text.</i>