Draft

Supply Base Report Template for Biomass Producers: Annex 1

www.sbp-cert.org

Version 1.2

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*For further information on the SBP Framework and to view the full set of documentation see* [*www.sbp-cert.org*](http://www.sbp-cert.org)

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Annex 1:

Detailed Findings for Supply Base Evaluation Indicators

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| --- | --- |
|  | **Indicator** |
| **1.1.1** | The Biomass Producer’s Supply Base is defined and mapped. |
| Finding | [Brief description of the rationale behind the outcome, for example reference to determination of low risk at RA, or SVP, the implementation of existing management systems or the implementation of mitigation measures.] |
| Means of  Verification | [Include the Locally Adapted Verifiers] |
| Evidence  Reviewed | [Reference to the actual evidence reviewed, e.g. specific maps or documents.] |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure | [Optional comment on the indicator in the context of the SB or a brief description of mitigation measures implemented and actual/planned monitoring of their effectiveness.] |

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|  | **Indicator** |
| **1.1.2** | Feedstock can be traced back to the defined Supply Base. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **1.1.3** | The feedstock input profile is described and categorised by the mix of inputs. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **1.2.1** | The Biomass Producer has implemented appropriate control systems and procedures to ensure that legality of ownership and land use can be demonstrated for the Supply Base. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **1.3.1** | The BP has implemented appropriate control systems and procedures to ensure that feedstock is legally harvested and supplied and is in compliance with EUTR legality requirements. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **1.4.1** | The Biomass Producer has implemented appropriate control systems and procedures to verify that payments for harvest rights and timber, including duties, relevant royalties and taxes related to timber harvesting, are complete and up to date. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **1.5.1** | The Biomass Producer has implemented appropriate control systems and procedures to verify that feedstock is supplied in compliance with the requirements of CITES. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **1.6.1** | The Biomass Producer has implemented appropriate control systems and procedures to ensure that feedstock is not sourced from areas where there are violations of traditional or civil rights. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.1.1** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that forests and other areas with high conservation values are identified and mapped. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.1.2** | The Biomass Producer has implemented appropriate control systems and procedures to identify and address potential threats to forests and other areas with high conservation values from forest management activities. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.1.3** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that feedstock is not sourced from forests converted to production plantation forest or non-forest lands after January 2008. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.1** | The Biomass Producer has implemented appropriate control systems and procedures to verify that feedstock is sourced from forests where there is appropriate assessment of impacts, and planning, implementation and monitoring to minimise them. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.2** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that feedstock is sourced from forests where management maintains or improves soil quality (CPET S5b). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.3** | The Biomass Producer has implemented appropriate control systems and procedures to ensure that key ecosystems and habitats are conserved or set aside in their natural state (CPET S8b). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.4** | The Biomass Producer has implemented appropriate control systems and procedures to ensure that biodiversity is protected (CPET S5b). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.5** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that the process of residue removal minimises harm to ecosystems. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.6** | The Biomass Producer has implemented appropriate control systems and procedures to verify that negative impacts on ground water, surface water and water downstream from forest management are minimised (CPET S5b). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.7** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that air quality is not adversely affected by forest management activities. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.8** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that there is controlled and appropriate use of chemicals, and that Integrated Pest Management (IPM) is implemented wherever possible in forest management activities (CPET S5c). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.2.9** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that methods of waste disposal minimise negative impacts on forest ecosystems (CPET S5d). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.3.1** | Analysis shows that feedstock harvesting does not exceed the long-term production capacity of the forest, avoids significant negative impacts on forest productivity and ensures long-term economic viability. Harvest levels are justified by inventory and growth data. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.3.2** | Adequate training is provided for all personnel, including employees and contractors (CPET S6d). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.3.3** | Analysis shows that feedstock harvesting and biomass production positively contribute to the local economy, including employment. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.4.1** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that the health, vitality and other services provided by forest ecosystems are maintained or improved (CPET S7a). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.4.2** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that natural processes, such as fires, pests and diseases are managed appropriately (CPET S7b). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.4.3** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that there is adequate protection of the forest from unauthorised activities, such as illegal logging, mining and encroachment (CPETS7c). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.5.1** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that legal, customary and traditional tenure and use rights of indigenous people and local communities related to the forest are identified, documented and respected (CPET S9). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.5.2** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that production of feedstock does not endanger food, water supply or subsistence means of communities, where the use of this specific feedstock or water is essential for the fulfilment of basic needs. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.6.1** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that appropriate mechanisms are in place for resolving grievances and disputes, including those relating to tenure and use rights, to forest management practices and to work conditions. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.7.1** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that Freedom of Association and the effective recognition of the right to collective bargaining are respected. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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|  | **Indicator** |
| **2.7.2** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that feedstock is not supplied using any form of compulsory labour. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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| --- | --- |
|  | **Indicator** |
| **2.7.3** | The Biomass Producer has implemented appropriate control systems and procedures to verify that feedstock is not supplied using child labour. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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| --- | --- |
|  | **Indicator** |
| **2.7.4** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that feedstock is not supplied using labour which is discriminated against in respect of employment and occupation. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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| --- | --- |
|  | **Indicator** |
| **2.7.5** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that feedstock is supplied using labour where the pay and employment conditions are fair and meet, or exceed, minimum requirements. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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| --- | --- |
|  | **Indicator** |
| **2.8.1** | The Biomass Producer has implemented appropriate control systems and procedures for verifying that appropriate safeguards are put in place to protect the health and safety of forest workers (CPET S12). |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

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| --- | --- |
|  | **Indicator** |
| **2.9.1** | Biomass is not sourced from areas that had high carbon stocks in January 2008 and no longer have those high carbon stocks. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

|  |  |
| --- | --- |
|  | **Indicator** |
| **2.9.2** | Analysis demonstrates that feedstock harvesting does not diminish the capability of the forest to act as an effective sink or store of carbon over the long term. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |

|  |  |
| --- | --- |
|  | **Indicator** |
| **2.10.1** | Genetically modified trees are not used. |
| Finding |  |
| Means of  Verification |  |
| Evidence  Reviewed |  |
| Risk Rating | **☐ Low Risk ☐ Specified Risk ☐ Unspecified Risk at RA** |
| Comment or Mitigation Measure |  |