

SBP

Sustainable Biomass Partnership

Control Union Certifications B.V. Evaluation of RWE Supply & Trading GmbH Compliance with the SBP Framework: Public Summary Report

www.sustainablebiomasspartnership.org



Completed in accordance with the CB Public Summary Report Template Version 1.0

*For further information on the SBP Framework and to view the full set of documentation see
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Document history

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1 Overview

CB Name and contact: Control Union Certifications B.V.
Primary contact for SBP: Mr. L.J. Verwijst
lverwijst@controlunion.com
Report completion date: 11/May/2016
Report authors: Mr. K. Stuij (Lead Auditor)
 Mr. L. Verwijst (Certifier)
Certificate Holder: RWE Supply & Trading GmbH
 Whitehill Way, Trigonos
 SN5 6PB
 Swindon, UK
Producer contact for SBP: Mr. Richard Glibbery
Certified Supply Base: N/A - trader
SBP Certificate Code: SBP-06-03
Date of certificate issue: 29/Jul/2016
Date of certificate expiry: 28/Jul/2021

Indicate where the current audit fits within the certification cycle				
Main (Initial) Audit	First Surveillance Audit	Second Surveillance Audit	Third Surveillance Audit	Fourth Surveillance Audit
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2 Scope of the evaluation and SBP certificate

The scope of this evaluation includes trading of wood pellets. The scope is matching with the application form and only includes SBP standards 4 and 5. No discrepancies were found.

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

4 SBP Standards utilised

4.1 SBP Standards utilised

RWE Supply & Trading GmbH. is an SBP applicant organization with the following SBP standards in the scope of the Main audit:

<p><i>SBP Standard 4: Chain of Custody</i></p> <p><i>Version 1.0: published 26 March 2015</i></p>
<p><i>SBP Standard 5: Collection and Communication of Data</i></p> <p><i>Version 1.0: published 26 March 2015</i></p>

4.2 SBP-endorsed Regional Risk Assessment

Not applicable

5 Description of Biomass Producer, Supply Base and Forest Management

5.1 Description of Biomass Producer

RWE Supply & Trading GmbH is a Biomass Trader. The company is a German company specializing in the trade of fuels to supply power production stations. Biomass Trading is part of the Solid Fuels Trading group. The trading is currently focused on wood pellets

The Biomass Trading business includes supply to both RWE owned and third party power stations. The majority of the business is based around supply to utilities.

The normal form of delivery is from ocean going vessels. These range in size subject to the journey. Coasters are used too. The company does not own storage facilities or fleet (transport).

The company consists of many people, including a biofuel desk. Mr Richard Glibbery (manager of the desk) is a central certification coordinator and the manager responsible for their SBP system.

The company is already GGL, PEFC / FSC CoC certified.

5.2 Description of Biomass Producer's Supply Base

Not applicable, The company is a Biomass Trader

5.3 Detailed description of Supply Base

Not applicable, The company is a Biomass Trader

5.4 Chain of Custody system

Not applicable, The company is a Biomass Trader

6 Evaluation process

6.1 Timing of evaluation activities

Activity	Date	Executed by
Preparation	03-02-2016 (email on scope and planning)	Loek Verwijst (certifier)
Desktop review	14-03-16	Kees Stuij (lead auditor)
Head office audit	15-03-16	Kees Stuij
GHG paper audit and evidence review.	16-03-16	Kees Stuij

Names and affiliations of people interviewed

Name:	Affiliation:
Mr Richard Glibbery	CoC & Sustainability Manager
Mr Michel Reijersen	Global Commodities Logistics

6.2 Description of evaluation activities

The following activities were performed:

Evaluation step	Audited	Method used
Preparation	Scope	Email, documents, telephone
Main audit	Office visit, in depth review of procedures, documents and templates, random check suppliers documentation	Visual inspection, Interview, documents, records
Reporting and review		Email, documents

6.3 Process for consultation with stakeholders

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader

7 Results

7.1 Main strengths and weaknesses

The audit was conducted in an open and positive environment. Company was well prepared for the audit both in knowledge as in manual and management system. No issues were found that could be raised as non-compliance to the requirement of the standard. The depth procedures and record keeping are thought to be more than sufficient for the size and complexity of the company.

7.2 Rigour of Supply Base Evaluation

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader

7.3 Compilation of data on Greenhouse Gas emissions

The company has in depth procedures for this. The company is involved trades including vessel and barge transport, calculations were all prepared. Further on the company has just to assure completeness of incoming documentation to forward to their buyers.

7.4 Competency of involved personnel

The company consist of a biofuel trading desk of which one has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel.

7.5 Stakeholder feedback

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader so no stakeholder consultation is executed by the CB.

7.6 Preconditions

There were no non-conformities detected during this audit, and therefore also no pre-conditions before certification.

8 Review of Biomass Producer's Risk Assessments

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader.

9 Review of Biomass Producer's mitigation measures

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader.

10 Non-conformities and observations

There were no non-conformities detected during this audit.

11 Certification decision

The management system, procedures, and techniques of RWE Supply & Trading GmbH. have been assessed by CUC according to the standard(s) described in chapter 4.1 of this summary. In the opinion of the lead auditor (Kees Stuij):

RWE Supply & Trading GmbH. is in conformity with the certification requirements and a certificate should be issued.

Date of certification: 29/Jul/2016

Expiry date of certificate: 28/Jul/2021

Once certified, RWE Supply & Trading GmbH will be audited at least annually to monitor its continued conformity with all applicable certification requirements.

12 Surveillance updates

This was an initial audit. A surveillance update is not yet applicable.