

Control Union Certifications B.V. Evaluation of Total Gas & Power Ltd Compliance with the SBP Framework: Public Summary Report

www.sbp-cert.org



Completed in accordance with the CB Public Summary Report Template Version 1.0

*For further information on the SBP Framework and to view the full set of documentation see
www.sbp-cert.org*

Document history

Version 1.0: published 26 March 2015

© Copyright The Sustainable Biomass Partnership Limited 2015

Contents

1	Overview	1
2	Scope of the evaluation and SBP certificate	2
3	Specific objective	3
4	SBP Standards utilised	4
4.1	SBP Standards utilised	4
4.2	SBP-endorsed Regional Risk Assessment	4
5	Description of Biomass Producer, Supply Base and Forest Management	5
5.1	Description of Biomass Producer	5
5.2	Description of Biomass Producer's Supply Base	5
5.3	Detailed description of Supply Base	5
5.4	Chain of Custody system	5
6	Evaluation process	6
6.1	Timing of evaluation activities	6
6.2	Description of evaluation activities	6
6.3	Process for consultation with stakeholders	7
7	Results	8
7.1	Main strengths and weaknesses	8
7.2	Rigour of Supply Base Evaluation	8
7.3	Compilation of data on Greenhouse Gas emissions	8
7.4	Competency of involved personnel	8
7.5	Stakeholder feedback	8
7.6	Preconditions	8
8	Review of Biomass Producer's Risk Assessments	9
9	Review of Biomass Producer's mitigation measures	10
10	Non-conformities and observations	11
11	Certification decision	12
12	Surveillance updates	13
12.1	Evaluation details	13
12.2	Significant changes	13
12.3	Follow-up on outstanding non-conformities	13

12.4	New non-conformities	13
12.5	Stakeholder feedback	13
12.6	Conditions for continuing certification	13
12.7	Certification recommendation	13

1 Overview

CB Name and contact:	Control Union Certifications; Meeuwenlaan 4-6; P.O.Box 161, 8000AD Zwolle, Netherlands.
Primary contact for SBP:	Loek Verwijst
Report completion date:	05/Dec/2017
Report authors:	ing Koen Jongste
Certificate Holder:	Total Gas & Power Limited, 10 Upper Bank Street, London, E14 5BF, United Kingdom
Producer contact for SBP:	Hans Meijer
Certified Supply Base:	Trader
SBP Certificate Code:	SBP-06-12
Date of certificate issue:	11/Jan/2018
Date of certificate expiry:	10/Jan/2023

Indicate where the current audit fits within the certification cycle				
Main (Initial) Audit	First Surveillance Audit	Second Surveillance Audit	Third Surveillance Audit	Fourth Surveillance Audit
X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2 Scope of the evaluation and SBP certificate

Wood pellet trader without own storage. Back to back trading, where they can sometimes take ownership (title and risk) during the sea voyage.

3 Specific objective

The specific objective of this evaluation was to confirm that the traders management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

4 SBP Standards utilised

4.1 SBP Standards utilised

SBP Standard 4: Chain of Custody

Version 1.0: published 26 March 2015

<http://www.sustainablebiomasspartnership.org/docs/2015-03/sbp-standard-4-chain-of-custody-v1-0.pdf>

SBP Standard 5: Collection and Communication of Data

Version 1.0: published 26 March 2015

<http://www.sustainablebiomasspartnership.org/docs/2015-03/sbp-standard-5-collection-and-communication-of-data-v1-0.pdf>

4.2 SBP-endorsed Regional Risk Assessment

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets)

5 Description of Biomass Producer, Supply Base and Forest Management

5.1 Description of Biomass Producer

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets)

5.2 Description of Biomass Producer's Supply Base

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets).

5.3 Detailed description of Supply Base

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets).

5.4 Chain of Custody system

Wood pellet trader without storage. Back to back trading, where they can sometime also take ownership (title and risk) during the sea voyage. They use FSC CoC as underlying CoC system. Certificate SGSCH-COC-020135, registration number FSC-C133497 (valid from 2016-12-21 till 2021-12-20)

6 Evaluation process

6.1 Timing of evaluation activities

Activity	Date	Location	Executed by
Preparation	20-11-2017 (telephone discussion, on scope)	Rotterdam	Koen Jongste
Stakeholders consultation	Not applicable.	--	--
Desktop review, determining critical control points	28-11-2017	Rotterdam	Koen Jongste
Onsite audit			
site audit – London office	04-12-2017 09:30 - 10:15 – opening meeting	London	Koen Jongste
site audit – London office	04-12-2017 (10:15) – 05-12-2017 (13:30): on site compliance assessment review of records and interviews with appointed staff	London	Koen Jongste
evidence review and certification process.	10-01-2018	Zwolle	Loek Verwijst

6.2 Description of evaluation activities

Give a general description outlining each step of the evaluation. If applicable, include pre-evaluation visits. The description should indicate what was audited, what audit methods were used, and where time allocation was significant. **Make specific reference to the evaluation of any critical control points (CCPs) that were identified.**

1. Names and affiliations of people interviewed	
Name:	Affiliation:
Hans Meijer	Senior Dry Bulk Trader of Total Gas & Power Limited
Marta Rendondo	Dry Bulk Trading Operator of Total Gas & Power Limited of Total Gas & Power Limited
Mathieu Daden	Shipping and Inland Transport Executive of Total Gas & Power Limited
Anthony Allet,	Middle Office Supervisor of Total Gas & Power Limited
Alexandra White	Assistant facility manager of Total Gas & Power Limited
Stella Milhailova	Senior Back Office analyst of Total Gas & Power Limited

2. Critical control points, summary	
Identified CCP	Evaluation CCP
Front Office Contracting Phase: purchase and sales trades are made. Checking of Valid certificates in case of SBP	Procedures in place. Training done. Interview confirms understanding of the system.
Front Office: Putting purchase and sales into the system: correctly input of all requirements in the system for operations to know what to expect	Procedures in place. Training done. Interview confirms understanding of the system. Checked and confirmed by liability officer prior to execution phase.
Operations: Execution phase: receiving of the material and all accompanying documents. Filling out DTS system and adding SREG information	Procedures in place. Training done. Interview confirms understanding of the system. No experience with DTS: no login received.
Back office: Final invoices sent. (Logo's or claims)	Procedures in place. Training of supervisor done (and she trained her staff). Interview confirms understanding of the system

6.3 Process for consultation with stakeholders

Not applicable.

7 Results

7.1 Main strengths and weaknesses

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets).

7.2 Rigour of Supply Base Evaluation

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets)

7.3 Compilation of data on Greenhouse Gas emissions

Standard 5 in their scope. No material traded yet. No access to DTS yet. During interview, procedure and the SREG template with notes and filled out with basic numbers show they understand what will be expected and how to do it. They will be using their SREG for transport GHG only in case they buy FOB and sell CIF. They will use the websites NETPAS.net and AXSMARINE.com for the required sea transport data.

7.4 Competency of involved personnel

Initial (main) audit so the staff does not have much experience with SBP. They are ENplus, FSC CoC and PEFC CoC certified and have experience some with certification systems. The staff working at the identified control points all have participated in an in house PEFC, FSC and SBP training on 27-10-2017 with subjects: SBP, SREG, GHG data, FESC/PEFC/SBP manual version 1.1, SBP presentation. Interview confirmed their understanding of the system. No experience with DTS and no password was yet received so this could not be verified. They did show general knowledge of the system and know where to log in and where to find the manual.

7.5 Stakeholder feedback

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets)

7.6 Preconditions

No issues identified

8 Review of Biomass Producer's Risk Assessments

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets)

9 Review of Biomass Producer's mitigation measures

Not Applicable: Total Gas & Power Limited acts as a trader of biomass (Wood Pellets)

10 Non-conformities and observations

No non-conformities were identified.

11 Certification decision

The management system, procedures, and techniques of Total Gas & Power Limited have been assessed by CUC according to the standard(s) described in chapter 4.1 of this summary. In the opinion of the lead auditor (Koen Jongste):

☒ Total Gas & Power Limited is in conformity with the certification requirements and a certificate should be issued. After the review process, this is confirmed by the Certifier of Control Union Certifications B.V.

Date of certificate issue: 11/Jan/2018

Date of certificate expiry: 10/Jan/2023

Once certified, Total Gas & Power Limited will be audited at least annually to monitor its continued conformity with all applicable certification requirements.

12 Surveillance updates

Not applicable: Initial (main) audit.

12.1 Evaluation details

Not applicable: Initial (main) audit.

12.2 Significant changes

Not applicable: Initial (main) audit.

12.3 Follow-up on outstanding non-conformities

Not applicable: Initial (main) audit.

12.4 New non-conformities

Not applicable: Initial (main) audit.

12.5 Stakeholder feedback

Not applicable: Initial (main) audit.

12.6 Conditions for continuing certification

Not applicable: Initial (main) audit.

12.7 Certification recommendation

Not applicable: Initial (main) audit.

.