

SBP

Sustainable Biomass Program

Control Union Certifications B.V. Evaluation of RWE Supply & Trading GmbH Compliance with the SBP Framework: Public Summary Report

Second Surveillance Audit

www.sbp-cert.org



Completed in accordance with the CB Public Summary Report Template Version 1.2

*For further information on the SBP Framework and to view the full set of documentation see
www.sbp-cert.org*

Document history

Version 1.0: published 26 March 2015

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Table of Contents

1	Overview
2	Scope of the evaluation and SBP certificate
3	Specific objective
4	SBP Standards utilised
4.1	SBP Standards utilised
4.2	SBP-endorsed Regional Risk Assessment
5	Description of Company, Supply Base and Forest Management
5.1	Description of Company
5.2	Description of Company's Supply Base
5.3	Detailed description of Supply Base
5.4	Chain of Custody system
6	Evaluation process
6.1	Timing of evaluation activities
6.2	Description of evaluation activities
6.3	Process for consultation with stakeholders
7	Results
7.1	Main strengths and weaknesses
7.2	Rigour of Supply Base Evaluation
7.3	Compilation of data on Greenhouse Gas emissions
7.4	Competency of involved personnel
7.5	Stakeholder feedback
7.6	Preconditions
8	Review of Company's Risk Assessments
9	Review of Company's mitigation measures
10	Non-conformities and observations
11	Certification recommendation

1 Overview

CB Name and contact: Control Union Certifications; Meeuwenlaan 4-6; P.O.Box 161, 8000AD, Zwolle, Netherlands. certification@controlunion.com

Primary contact for SBP: Loek Verwijst; lverwijst@controlunion.com, +31(0)38-4260100

Current report completion date: 03/May/2018

Report authors: Mr. L. Holm (Lead Auditor) and Mr. L. Verwijst (Certifier)

Name of the Company: RWE Supply & Trading GmbH, Whitehill Way, Trigonos, SN5 6PB, Swindon, UK

Company contact for SBP: Mr. Richard Glibbery

Certified Supply Base: N/A - trader

SBP Certificate Code: SBP-06-03

Date of certificate issue: 29/Jul/2016

Date of certificate expiry: 28/Jul/2021

This report relates to the Second Surveillance Audit

2 Scope of the evaluation and SBP certificate

RWE Supply & Trading GmbH is a trader of biomass sourced from different parts of the world. The scope of this evaluation includes trading of wood pellets and wood chips. The scope of the certificate does not include a Supply Base Evaluation.

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Producer's management system is capable of ensuring that all requirements of the specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the BP's management procedures;
- Review of Chain of Custody system control points and an analysis of the existing FSC and PEFC CoC system;
- Interviews with responsible staff;
- Review of the records, calculations and conversion coefficients; and
- GHG data collection analysis

4 SBP Standards utilised

4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

4.2 SBP-endorsed Regional Risk Assessment

Not applicable - No SBP endorsed Regional Risk Assessment was used for this assessment

5 Description of Company, Supply Base and Forest Management

5.1 Description of Company

RWE Supply & Trading GmbH is a Biomass Trader. The company is a German company specializing in the trade of fuels to supply power production stations. Biomass Trading is part of the Solid Fuels Trading group. The trading is currently focused on wood pellets.

The Biomass Trading business includes supply to both RWE owned and third party power stations. The majority of the business is based around supply to utilities.

The normal form of delivery is from ocean going vessels. These range in size subject to the journey. Coasters are used too. The company does not own storage facilities or fleet (transport).

The company consists of many people, including a biofuel desk. Mr Richard Glibbery (Head of Solid Fuels Logistics) is a central certification coordinator and the manager responsible for their SBP system.

The company is already GGL and PEFC/FSC CoC certified.

5.2 Description of Company's Supply Base

Not applicable, The company is a Biomass Trader

5.3 Detailed description of Supply Base

Not applicable, The company is a Biomass Trader

5.4 Chain of Custody system

The organization has implemented FSC transfer and PEFC physical separation systems with biomass (wood pellets and wood chips) in the scope of their certificates. The process covers trade of biomass without physical possession. Valid FSC and PEFC system description and other documents exist. As a trading company, they import biomass (wood pellets) by sea mainly FOB or CIF, without storage.

6 Evaluation process

6.1 Timing of evaluation activities

The audit occurred on April 24, 2018 by the above mentioned audit team. This report is the result of the findings of a certification evaluation carried out by an independent lead auditor representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate.

Activity	Date	Executed by
Preparation	xx-xx-2018	Loek Verwijst (certifier)
Chain of Custody Review	24/04/2018	Lennart Holm (lead auditor)
GHG paper audit and evidence review.	24/04/2018	Lennart Holm (lead auditor)

• Names and affiliations of people interviewed	
Name:	Affiliation:
Michel Reijersen	RWE Supply & Trading GmbH
Henry Pease	RWE Supply & Trading GmbH
Richard Glibbery	RWE Supply & Trading GmbH

6.2 Description of evaluation activities

The audit consisted of an opening meeting, during which the scope was confirmed. The auditor also explained the methods to be employed during the audit. After this introduction, all relevant requirements of the applicable SBP standard(s) were verified on compliance through the use of a report template and checklists. The audit was completed by filling in the audit report and discussing the audit results. Critical Control points were evaluated and found to be sufficiently managed and a closing meeting performed.

6.3 Process for consultation with stakeholders

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader

7 Results

7.1 Main strengths and weaknesses

The audit of RWE demonstrated a good level of compliance with the required criteria of Standard 4 and 5. There was reasonable evidence provided to support compliance where a Non-Conformity was not detected. The existence of a FSC/PEFC Chain of Custody system in combination with GGL are considered a main strength with respect to RWE overall conformity with the relevant SBP standards. No weaknesses were detected during this audit.

7.2 Rigour of Supply Base Evaluation

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader

7.3 Collection and Communication of Data

RWE assures the completeness of incoming documentation to forward to their buyers. RWE is a trader without physical possession, and does not handle with the purchased biomass. RWE forwards to its customer the energy use data, received from their suppliers

7.4 Competency of involved personnel

The company consist of a biofuel trading desk of which one has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel.

7.5 Stakeholder feedback

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader so no stakeholder consultation is executed by the CB.

7.6 Preconditions

N/A, no preconditions.

8 Review of Company’s Risk Assessments

Describe how the Certification Body assessed risk for the Indicators. Summarise the CB’s final risk ratings in Table 1, together with the Company’s final risk ratings. Default for each indicator is ‘Low’, click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader.

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low

Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures.

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low

9 Review of Company's mitigation measures

Not applicable, RWE Supply & Trading GmbH. is a Biomass Trader.

10 Non-conformities and observations

NC number <i>Enter number</i>	NC Grading: <i>Choose grading.</i>
Standard & Requirement:	<i>Click to enter SBP standard and requirement reference</i>
Description of Non-conformance and Related Evidence:	
<i>Click or tap here to enter NC description.</i>	
Timeline for Conformance:	<i>Choose NC timeline.</i>
Evidence Provided by Company to close NC:	<i>Click or tap here to enter description provided by Company to close the NC.</i>
Findings for Evaluation of Evidence:	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
NC Status:	<i>Choose status.</i>

There were no non-conformities detected during this audit.

11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

Certification decision:	Certification approved
Certification decision by (name of the person):	Loek Verwijst
Date of decision:	14/06/2018
Other comments:	<i>Click or tap here to enter text.</i>