

SBP

Sustainable Biomass Program

Control Union Certifications BV Evaluation of Uniper Benelux NV Compliance with the SBP Framework: Public Summary Report

www.sbp-cert.org



Completed in accordance with the CB Public Summary Report Template Version 1.2

*For further information on the SBP Framework and to view the full set of documentation see
www.sbp-cert.org*

Document history

Version 1.0: published 26 March 2015

Version 1.1: published 30 January 2018

Version 1.2: published 4 April 2018

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1 Overview

CB Name and contact:	Control Union Certifications B.V, Meeuwenlaan 4-6, 8011 BZ Zwolle
Primary contact for SBP:	Mr. L.J. Verwijst, lverwijst@controlunion.com
Current report completion date:	07/Oct/2018
Report authors:	Mr Koen Jongste (Lead Auditor), Mr. L. Verwijst (Certifier)
Name of the Company:	Uniper Benelux NV, Capelseweg 400, 3068 AX, Rotterdam, Netherlands
Company contact for SBP:	Kurt Stam, Manager Quality and Performance Uniper Benelux
Certified Supply Base:	n/a - End User
SBP Certificate Code:	SBP-06-18
Date of certificate issue:	16/Jul/2018
Date of certificate expiry:	15/Jul/2023

This report relates to the Main (Initial) Audit

2 Scope of the evaluation and SBP certificate

Trader of wood pellets for energy generation. The scope is matching with the application form and includes SBP standards 4, 5.

3 Specific objective

The specific objective of this evaluation was to confirm that the traders/generators management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification. Next to this the general knowledge and skill level of the company should be high enough to operate the system the coming year without relevant discrepancies. No material is owned, handled, stored or cofired at the moment.

4 SBP Standards utilised

4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

4.2 SBP-endorsed Regional Risk Assessment

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

5 Description of Company, Supply Base and Forest Management

5.1 Description of Company

Power producer Uniper Benelux (UBX) will be co-firing wood pellets as part of the Dutch SDE+ incentive. It is essential that the material being co-fired is having the required sustainability evidence. SBP is part of the package of sustainable systems to guarantee this.

The company has 330 employees. The expected turnover relating wood pellets amounts to 80 mill usd. Sourcing and logistics of the wood pellets will be carried out by a sister Uniper-company which is SBP certified as well. The registration of material, including the SBP transactions will be done in a shared data base system.

As of yet, no wood pellets have been received since the installation is still in construction. According to planning the co-firing of wood pellets commences in September 2018. Material will be transported by sea going vessel to the power plant or will be transhipped into barges/(floating) storage which will be unloaded at the power plant. Coasters will (in most cases) directly be discharged at the power plant. There is only limited intermediate bulk storage (day bunker) on site: 2x2000 m³ (total 2500 mt), enough for 1 day worth of wood pellets.

UBX will have the trader option under SBP in the case it is necessary to sell a specific shipment, as a trader selling probably back to back..

5.2 Description of Company's Supply Base

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

5.3 Detailed description of Supply Base

N Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

5.4 Chain of Custody system

Holding a valid FSC Chain of Custody (COC) certificate (Standard: FSC-STD-40-004 V3-0 Chain of Custody Certification, FSC-STD-50-001 V2-0 Requirements for use of the FSC trademarks by Certificate Holders). Valid until: 2023-07-03 CERTIFICATE No: CU-COC-858402, CERTIFICATION CODE: FSC-C142085 and Controlled Wood Certification Code: CU-CW-858402

6 Evaluation process

6.1 Timing of evaluation activities

20-06-2018 Preparation, 04-07-2018 Desktop review, 10-07-2018 Head office audit, site visit, reporting, 17/07/18 Reporting and review

6.2 Description of evaluation activities

During the Preparation the scope was reviewed, During the desktop review with the received documents and process descriptions the critical control points were determined in combination with interview of the client the client. During the Head office audit and site visit in depth review of procedures, documents and templates, potential suppliers documentation were performed onsite in general and specifically on the identified critical control points. Amongst the items reviewed were (the systems for) SBP approved Chain of Custody, Purchase, Suppliers: verification and monitoring, Transport and storage, Trademark and Logo Use, Sales, Outsourcing, Training, EUTR and Due Diligence System, Business integrity, Social, Health & Safety, Mass Balance, Compliance, Communication of data and GHG (DTS, SREG).

6.3 Process for consultation with stakeholders

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

7 Results

7.1 Main strengths and weaknesses

The audit was conducted in an open and positive environment. Company was well prepared for the audit with the aid of their already certified sister company Uniper Global Commodities SE. No biomass deliveries were yet received, traded, stored or co-fired so only the theoretical proceedings could be discussed. The depth procedures, record keeping and knowledge are deemed to be sufficient for the size and complexity of the company.

7.2 Rigour of Supply Base Evaluation

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

7.3 Collection and Communication of Data

Standard 5 in their scope. No material traded yet. No access to DTS yet. During interview, procedure and the SREG template with notes and filled out with basic numbers show they understand what will be expected and how to do it. They will be using their SREG for transport GHG only in case they buy FOB and sell CIF.

7.4 Competency of involved personnel

Initial (main) audit so the staff does not have much experience with SBP. The staff working at the identified control points all have participated in an in house PEFC, FSC and SBP training with subjects: SBP, SREG, GHG data, FSC®, PEFC COC and SBP manual. Interview confirmed their understanding of the system. No experience with DTS and no password was yet received so this could not be verified. They did show general knowledge of the system and watched the online training of DTS at the SBP website.

7.5 Stakeholder feedback

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

7.6 Preconditions

No preconditions identified

8 Review of Company’s Risk Assessments

Describe how the Certification Body assessed risk for the Indicators. Summarise the CB’s final risk ratings in Table 1, together with the Company’s final risk ratings. Default for each indicator is ‘Low’, click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low


Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures.

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low

9 Review of Company's mitigation measures

Not Applicable: Uniper Benelux B.V. will act as a generator/end-user and trader of biomass (Wood Pellets)

Identify all non-conformities and observations raised during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. Click on the  symbol on the right bottom corner of the table to repeat the table. For each, give details to include at least the following:

- applicable requirement(s)
- grading of the non-conformity (major or minor) or observation with supporting rationale
- timeframe for resolution of the non-conformity
- a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.

10 Non-conformities and observations

NC number 333185	NC Grading: Major
Standard & Requirement:	1.1 / 1.2 "The Sustainable Biomass Partnership (SBP) owns three registered trademarks: the SBP logo artwork, the initials "SBP" and the name "Sustainable Biomass Partnership". In order to use the SBP trademarks, the organisation shall have signed the SBP trademark licence agreement."
Description of Non-conformance and Related Evidence:	
Did not sign the trademark agreement (although they will not use the logo)	
Timeline for Conformance:	Prior to (re)certification
Evidence Provided by Company to close NC:	By Uniper signed SBP Trade Mark Licence Agreement _V2.0.doc
Findings for Evaluation of Evidence:	Trademark licence agreement is now signed
NC Status:	Closed

11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

Certification decision:	Certification approved
Certification decision by (name of the person):	Loek Verwijst
Date of decision:	16/07/2018
Other comments:	<i>Click or tap here to enter text.</i>