



# Control Union Certifications B.V. Evaluation of Duferco Biomasse SRL Compliance with the SBP Framework: Public Summary Report

First Surveillance Audit

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# Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see [www.sbp-cert.org](http://www.sbp-cert.org)*

## *Document history*

*Version 1.0: published 26 March 2015*

*Version 1.1: published 30 January 2018*

*Version 1.2: published 4 April 2018*

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# 1 Overview

CB Name and contact: Control Union Certifications; Meeuwenlaan 4-6; P.O.Box 161, 8000AD Zwolle, Netherlands. certification@controlunion.com

Primary contact for SBP: Andrea Ferrazzo, +31 (0)657312287

Current report completion date: 26/Apr/2020

Report authors: Mr. Lennart Holm (Lead Auditor) and Mr. Andrea Ferrazzo (Certifier)

Name of the Company: Duferco Biomasse SRL, Via Roma 9912030, Cavallomaggiore, Cuneo, Italy

Company contact for SBP: Pamela Micheli, +390 172 380 811

Certified Supply Base: N/A - trader

SBP Certificate Code: SBP-06-26

Date of certificate issue: 18/Apr/2019

Date of certificate expiry: 17/Apr/2024

This report relates to the First Surveillance Audit

## 2 Scope of the evaluation and SBP certificate

**Scope of evaluation** Surveillance evaluation by means of an Desk audit to assess Duferco's conformance to SBP standards 4, and 5 and respective Instruction Notes and Documents, at Duferco Biomasse SRL office in Cavallomaggiore, Cuneo, Italy. COVID-19 Normative Requirements of 22/04/2020 was adhered to when completing this report.

**Scope of certificate:** Trading and distribution of chips for use in energy production at Duferco Biomasse Srl, Cavallomaggiore, and the port facilities in Palamos, Tarragona, Alicante, Huelva, Cartagena and Castellón de la Plana in Spain and Gent in Belgium. The scope of the certificate does not include a supply base evaluation.

### 3 Specific objective

The specific objective of this evaluation was to confirm that Duferco's management system is capable of ensuring that all requirements of the specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of Duferco's management procedures;
- Review of SBP system control points and an analysis of the existing PEFC and FSC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations;
- SREG data collection analysis.

## 4 SBP Standards utilised

### 4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

### 4.2 SBP-endorsed Regional Risk Assessment

Not applicable - No SBP endorsed Regional Risk Assessment was used for this assessment

# 5 Description of Company, Supply Base and Forest Management

## 5.1 Description of Company

Duferco Biomasse is part of the Duferco Group and deals with woodchips and timber logs as a trader.

The long term goal of the company is capturing value along the chain by getting more involved in forest operations upstream and setting up their own logistics and distribution downstream. Consistent with this approach Duferco Biomasse has set up operations in Italy, Spain, France and Belgium to enable them to control the flow from forest all the way to the end user premises.

## 5.2 Description of Company's Supply Base

Not applicable, The company is a Biomass Trader

## 5.3 Detailed description of Supply Base

Not applicable, The company is a Biomass Trader

## 5.4 Chain of Custody system

The organization has implemented FSC transfer and PEFC physical separation systems with biomass (wood chips) in the scope of their certificates, although only PEFC is the basis for SBP certification as their current chips suppliers are only PEFC certified. The process covers trade of biomass with physical possession. Valid FSC and PEFC system description and other documents exist. As a trading company, they imports biomass (wood chips) by sea mainly CIF, with storage.



## 6 Evaluation process

### 6.1 Timing of evaluation activities

The audit of the company's documentation occurred on March 27, and April 23, 2020. Due to the COVID-19 virus, this evaluation did not include an on-site visit, but was done remotely. COVID-19 Normative Requirements of April 22, 2020 was adhered to when completing this report.

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate.

Activity	Date	Executed by
Preparation	16-03-2020	Lennart Holm (lead auditor)
Chain of Custody Review	27/03/2020	Lennart Holm (lead auditor)
GHG paper audit and evidence review.	27/03/2020	Lennart Holm (lead auditor)
Port procedures review and Closing Meeting	23/04/2020	Lennart Holm (lead auditor)
Report writing	26/04/2020	Lennart Holm (lead auditor)

### 6.2 Description of evaluation activities

This was a remote audit due to COVID-19 restrictions and was being undertaken following SBP COVID-19 guidance of 13 March, 2020 and COVID-19 Normative Requirements of 22 April, 2020.

In this remote audit, Control Union used information and communication technology (ICT) to evaluate all requirements from the annual audit plan to the extent possible. The audit was conducted on the basis of virtual meetings / interviews with relevant people of the certificate holder, relevant documents and records, and other best available information.

This remote audit consisted of an opening meeting, during which the scope was confirmed. The auditor also explained the methods to be employed during the audit.

After this introduction, all relevant requirements of the applicable SBP standard(s) were verified on compliance through the use of a report template and checklists. Procedures for ports included in the scope of Duferco were reviewed remotely.

The audit was completed by filling in the audit report and discussing the audit results. Critical Control points were evaluated and found to be sufficiently managed and a closing meeting performed.

<b>1. Names and affiliations of people interviewed</b>	
Name:	Affiliation:
Simona Ferutta	Duferco Consultant
Pamela Micheli	SBP Manager, Duferco
Luca Rosso	Traceability Manager, Duferco

<b>2. Critical control points, summary</b>	
<i>Identified CCP</i>	<i>Evaluation CCP</i>
Purchase and sales: Checking of Valid certificates in case of SBP	Procedures in place. Training done. Interview confirms understanding of the system.
Volume control	PEFC physical separation method, with 100% PEFC certified input material

## 6.3 Process for consultation with stakeholders

Not applicable, Duferco is a Biomass Trader

# 7 Results

## 7.1 Main strengths and weaknesses

The audit of Duferco demonstrated a good level of compliance with the required criteria of Standard 4 and 5. There was reasonable evidence provided to support compliance where a Non-Conformity was not detected. The existence of a FSC/PEFC Chain of Custody system in combination with ISO9001, ISO14001 and ISO18001 are considered a main strength with respect to Duferco's overall conformity with the relevant SBP standards.

No weaknesses were identified.

## 7.2 Rigour of Supply Base Evaluation

Not applicable, Duferco is a Biomass Trader.

## 7.3 Collection and Communication of Data

Duferco assures the completeness of incoming documentation to forward to their buyers. Duferco is a trader with physical possession, and thus, does handle with the purchased biomass. Duferco forwards to its customer the energy use data, received from their suppliers, supported by the production of the applicable SREG.

## 7.4 Competency of involved personnel

The company consist of a biomass trading desk of which one has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel.

## 7.5 Stakeholder feedback

Not applicable, Duferco is a Biomass Trader so no stakeholder consultation is executed by the company or by the CB.

## 7.6 Preconditions

N/A, no preconditions

## 8 Review of Company's Risk Assessments

*Not applicable, Duferco is a Biomass Trader (supply base evaluation is not included in the certificate scope).*

## 9 Review of Company's mitigation measures

Not applicable, Duferco is a Biomass Trader (supply base evaluation is not included in the certificate scope).

## 10 Non-conformities and observations

*Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:*

- *applicable requirement(s)*
- *grading of the non-conformity (major or minor) or observation with supporting rationale*
- *timeframe for resolution of the non-conformity*
- *a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.*

First Surveillance: No Non-conformities identified.

## 11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

<b>Certification decision:</b>	Certification approved
<b>Certification decision by (name of the person):</b>	Andrea Ferrazzo
<b>Date of decision:</b>	06/May/2020
<b>Other comments:</b>	<i>Click or tap here to enter text.</i>