

SBP

Sustainable Biomass Program

Control Union Certifications B.V. Evaluation of SAIF S.p.A Compliance with the SBP Framework: Public Summary Report

Main (Initial) Audit

www.sbp-cert.org



Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see
www.sbp-cert.org*

Document history

Version 1.0: published 26 March 2015

Version 1.1: published 30 January 2018

Version 1.2: published 4 April 2018

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Table of Contents

- 1 Overview**
- 2 Scope of the evaluation and SBP certificate**
- 3 Specific objective**
- 4 SBP Standards utilised**
 - 4.1 SBP Standards utilised
 - 4.2 SBP-endorsed Regional Risk Assessment
- 5 Description of Company, Supply Base and Forest Management**
 - 5.1 Description of Company
 - 5.2 Description of Company's Supply Base
 - 5.3 Detailed description of Supply Base
 - 5.4 Chain of Custody system
- 6 Evaluation process**
 - 6.1 Timing of evaluation activities
 - 6.2 Description of evaluation activities
 - 6.3 Process for consultation with stakeholders
- 7 Results**
 - 7.1 Main strengths and weaknesses
 - 7.2 Rigour of Supply Base Evaluation
 - 7.3 Compilation of data on Greenhouse Gas emissions
 - 7.4 Competency of involved personnel
 - 7.5 Stakeholder feedback
 - 7.6 Preconditions
- 8 Review of Company's Risk Assessments**
- 9 Review of Company's mitigation measures**
- 10 Non-conformities and observations**
- 11 Certification recommendation**

1 Overview

CB Name and contact:	Control Union Certifications B.V.
Primary contact for SBP:	Andrea Ferrazzo
Current report completion date:	25/Sep/2018
Report authors:	Ing. Koen Jongste (auditor), Andrea Ferrazzo (certifier)
Name of the Company:	SAIF S.p.A., Piazza Rossetti 5, 16129 Genova, Italy/ Viale Bianca Maria 25, 20122, Milan,
Company contact for SBP:	Tommaso Zucchi, Marcello Verusio
Certified Supply Base:	Not applicable
SBP Certificate Code:	SBP-06-22
Date of certificate issue:	01/Oct/2018
Date of certificate expiry:	30/Sep/2023

This report relates to the Main (Initial) Audit

2 Scope of the evaluation and SBP certificate

The scope of this evaluation includes trading of wood chips and wood pellets. The scope is matching with the application form and only includes SBP standards 4 and 5. No discrepancies were found

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification

4 SBP Standards utilised

4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

4.2 SBP-endorsed Regional Risk Assessment

Not applicable

5 Description of Company, Supply Base and Forest Management

5.1 Description of Company

From the early eighties the core business of SAIF S.p.A. is in solid fossil fuels: mainly worldwide trading and distribution of petcoke and coal for cement industry.

Saif has historically been trading biomass PKS, wood chips and Wood pellets for the energy and residential markets through the subsidiary ENVIRO S.r.l.

Since April 2018 new shareholders have joined the company and all trading activities in fossil and biomass have been included in SAIF.

SAIF which takes a long term knowhow and experience in the developing local supply chains is FSC CoC (License Code FSC-C137360) and PEFC CoC (certificate nr. IT 17/0604) certified under SBP, SAIF aims to trade particularly wood chips and wood pellet as back to back traders.

5.2 Description of Company's Supply Base

Not applicable, The company is a Biomass Trader

5.3 Detailed description of Supply Base

Not applicable, The company is a Biomass Trader

5.4 Chain of Custody system

SAIF holds valid FSC Chain of Custody (COC) and PEFC (COC) certificates. For SBP they use their FSC CoC (License Code FSC-C137360) as the basis for their SBP CoC system. They will trade back to back. The sustainability characteristics will stay linked to the shipment and are noted in their transfer system registration balance. The outgoing sustainability declaration will be the same as the incoming declaration, having the same sustainability characteristics (or without SBP claim in case the buyer is not SBP certified and part of DTS). The GHG for handling and shipping while the material is in their possession will be noted in their sreg. Communication and passing information in the chain will be done with the use of the DTS system.

6 Evaluation process

6.1 Timing of evaluation activities

Preparation 20-07-2018 (email on scope and planning Loek Verwijst (certifier), Desktop review 24-09-2018 ing Koen Jongste (lead auditor), Office audit 25-09-2018 ing Koen Jongste (lead auditor), GHG paper audit and evidence review 25-09-2018 ing Koen Jongste (lead auditor), reporting 26-09-2018 ing Koen Jongste (lead auditor),

6.2 Description of evaluation activities

Preparation Scope, main audit Office visit, in depth review of procedures, documents and templates, random check suppliers documentation, Visual inspection, checking knowledge level, Interview, documents, records, Reporting and review

6.3 Process for consultation with stakeholders

Not applicable, The company is a Biomass Trader

7 Results

7.1 Main strengths and weaknesses

The audit was conducted in an open and positive environment. Company was well prepared for the audit both in knowledge as in manual and management system. No issues were found that could be raised as non-compliance to the requirement of the standard. The depth procedures and record keeping are thought to be more than sufficient for the size and complexity of the company. No SBP material was traded yet.

7.2 Rigour of Supply Base Evaluation

Not applicable, SAIF is a Biomass Trader

7.3 Collection and Communication of Data

The company has in depth procedures for this. Understand DTS system, though no actual trades have yet been received. The company is involved trades including vessel and barge transport, calculations were all prepared. Further on the company has just to assure completeness of incoming documentation to forward to their buyers.

7.4 Competency of involved personnel

The company has a biomass trading desk for which one has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel. SBP training has been received by the staff.

7.5 Stakeholder feedback

Not applicable, SAIF is a Biomass Trader so no stakeholder consultation is executed by the CB.

7.6 Preconditions

There were no non-conformities detected during this audit, and therefore also no pre-conditions before certification.

8 Review of Company’s Risk Assessments

Describe how the Certification Body assessed risk for the Indicators. Summarise the CB’s final risk ratings in Table 1, together with the Company’s final risk ratings. Default for each indicator is ‘Low’, click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.

Not applicable, SAIF is a Biomass Trader.

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low

Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures.

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low

9 Review of Company's mitigation measures

Not applicable, The company is a Biomass Trader

10 Non-conformities and observations

Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:

- applicable requirement(s)
- grading of the non-conformity (major or minor) or observation with supporting rationale
- timeframe for resolution of the non-conformity
- a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.

NC number <i>Enter number</i>	NC Grading: <i>Choose grading.</i>
Standard & Requirement:	There were no non- <input type="checkbox"/> conformities detected during this audit.
Description of Non-conformance and Related Evidence:	
<i>Click or tap here to enter NC description.</i>	
Timeline for Conformance:	<i>Choose NC timeline.</i>
Evidence Provided by Company to close NC:	<i>Click or tap here to enter description provided by Company to close the NC.</i>
Findings for Evaluation of Evidence:	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
NC Status:	<i>Choose status.</i>

11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

Certification decision:	Certification approved
Certification decision by (name of the person):	Loek Verwijst
Date of decision:	01/Oct/2018
Other comments:	<i>Click or tap here to enter text.</i>