

SBP

Sustainable Biomass Partnership

NEPCon Evaluation of Rusforest Magistralniy LLC Compliance with the SBP Framework: Public Summary Report

First Surveillance Audit

www.sustainablebiomasspartnership.org



Completed in accordance with the CB Public Summary Report Template Version 1.0

*For further information on the SBP Framework and to view the full set of documentation see
www.sustainablebiomasspartnership.org*

Document history

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1 Overview

CB Name and contact: NEPCon OÜ, Filosoofi 31, 50108 Tartu, Estonia

Primary contact for SBP: Ondrej Tarabus ot@nepcon.org, +420 606 730 382

Report completion date: 29/Apr/2017

Report authors: Nikolai Tochilov, Natalia Zaladinova

Certificate Holder: Rusforest Magistralniy LLC, 2/5 Zavodskaya str., Magistralniy, Kazachinsko-Lensk district, Irkutsk region,666505.

Producer contact for SBP: Nadezhda Ovchinnikova, certification specialist. Tel: +7 9500-620-320, +7(3952)780-920; Email: onn@lesresurs.com

Certified Supply Base: Sourcing from Russia, Irkutsk region

SBP Certificate Code: SBP-01-37

Date of certificate issue: 03/Oct/2016

Date of certificate expiry: 02/Oct/2021

Indicate where the current audit fits within the certification cycle				
Main (Initial) Audit	First Surveillance Audit	Second Surveillance Audit	Third Surveillance Audit	Fourth Surveillance Audit
<input type="checkbox"/>	X	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2 Scope of the evaluation and SBP certificate

The certificate scope covers the production site and office in Magistralniy settlement, Irkutsk region. The Organisation holds valid FSC Chain of Custody and FSC Controlled wood certificate, covering sawmilling and pellet production.

The input material used by the Organisation for biomass production contains only secondary feedstock (wood chips and sawdust for pellet production and bark for dryer). 81 % of input materials delivered to the pellet production plant is FSC certified, and 19% of input material is included into the Organisation’s FSC Controlled wood verification system. Feedstock used in the biomass production originates from Russia, Irkutsk region.

Scope description: Production of wood pellets in Magistralniy, Irkutsk region, Russia, for use in energy production. Post production end point is S.Petersburg harbour (terms of delivery - railway, FCA, Incoterms 2010). The scope of the certificate does not include Supply Base Evaluation.

Scope of the evaluation is indicated in the table below:

Scope Item	Check all that apply to the Certificate Scope			Change in Scope (N/A for Assessments)	
Approved Standards:	SBP Standard #2 V1.0 SBP Standard #4 V1.0 SBP Standard #5 V1.0 http://www.sustainablebiomasspartnership.org/documents			<input type="checkbox"/>	
Primary Activity:	Pellet producer			<input type="checkbox"/>	
Input Material Categories:	<input type="checkbox"/> SBP-Compliant Primary Feedstock	<input checked="" type="checkbox"/> SBP-Compliant Secondary Feedstock		<input type="checkbox"/>	
	<input checked="" type="checkbox"/> Controlled Feedstock	<input type="checkbox"/> SBP non-Compliant Feedstock			
	<input type="checkbox"/> SBP-Compliant Tertiary biomass	<input type="checkbox"/> Post-consumer Tertiary Feedstock			
	<input type="checkbox"/> SBP-approved Recycled Claim	<input type="checkbox"/> Post-consumer Tertiary Feedstock			
Chain of custody system implemented:	<input checked="" type="checkbox"/> FSC	<input type="checkbox"/> PEFC	<input type="checkbox"/> SFI	<input type="checkbox"/> GGL	<input type="checkbox"/>
	<input type="checkbox"/> Transfer	<input type="checkbox"/> Percentage	<input checked="" type="checkbox"/> Credit		<input type="checkbox"/>

Points of sales	<input type="checkbox"/> Harbour (including own handling of material)	<input type="checkbox"/> Harbour (e.g. FOB incoterms) legal owner is not responsible for handling of material at the harbor	<input checked="" type="checkbox"/> Other point of sale (e.g. gate of the BP, boarder, railway station etc.)	<input type="checkbox"/>
	Provide name of all points of sales		S.Petersburg (harbour)	
Use of SBP claim:	<input checked="" type="checkbox"/> Yes		<input type="checkbox"/> No	<input type="checkbox"/>
SBE Verification Program:	<input type="checkbox"/> Low risk sources only	<input type="checkbox"/> Sources with unspecified/ specified risk		<input type="checkbox"/>
	New districts approved for SBP-Compliant inputs:			
Sub-scopes				<input type="checkbox"/>
Specify SBP Product Groups added or removed:				
Comments:				

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Producer's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the BP's management procedures;
- Review of the production processes, production site visit;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records, calculations and conversion coefficients;
- GHG data collection analysis.

4 SBP Standards utilised

4.1 SBP Standards utilised

Verification of SBP-compliant Feedstock, SBP Standard 2, Version 1.0, March 2015

Chain of Custody, SBP Standard 4, Version 1.0, March 2015

Collection and Communication of Data, SBP Standard 5, Version 1.0, March 2015

Instruction document 5A Collection and Communication of Data version 1.0. March 2015.

<http://www.sustainablebiomasspartnership.org/documents>

4.2 SBP-endorsed Regional Risk Assessment

Not applicable.

5 Description of Biomass Producer, Supply Base and Forest Management

5.1 Description of Biomass Producer

BP is a pellet producer located in Magistralniy, Irkutsk region. BP may produce about 30 000 tons of wood pellets annually. Incoming feedstock is sawdust and wood chips from its own sawmilling located at the same production site. Final product is transported in big bags by railway to S.Petersburg harbour. Round wood with FSC 100% claim is delivered from company's own FSC certified forest management units in Irkutsk region, its share is about 81% in total supplies. The rest 19% of supplies are non-certified and included into Organization's own program of field verification of controlled material sources under FSC certification. There are no non-controlled inputs of the feedstock.

The BP has implemented FSC credit system and produced biomass is sold with FSC Mix Credit claim (SBP-compliant biomass) or FSC Controlled Wood claim (SBP-controlled biomass). In the reporting period all biomass was sold with SBP-compliant biomass claim.

5.2 Description of Biomass Producer's Supply Base

The total area of forest land in Russia is 764 million hectares, accounting for about 21% of the world reserves of standing timber. Area under main forest species remain fairly stable over the past decades. Coniferous makes up 68.4%, hard-wooded broadleaved species – 2.4%, soft-wooded broadleaved species – 19.3%. Other tree species make up less than 1% of forests.

In accordance with Russian law, all forest lands are state-owned. Legal persons receive forest land use rights through lease agreements and under short-term use. Leases are the dominant legal form of forest use. The lease term can range from 10 to 49 years.

Conclusion of lease contracts of forest plots or contracts of sale of forest plantations is carried out on the auctions to sell the right to conclude such contracts. Areas rented must be recorded in the state cadastre. Each forest user authorized to rent forest land, in accordance with the Forest Code of the Russian Federation shall:

- carry out activities on conservation, protection and reproduction of forests,
- annually submit a forest declaration
- develop and implement the forest management plan
- provide a report on the use of forests, their conservation, protection and reproduction.

Geographically supply base of BP is located in Irkutsk region of Siberian Federal District (SFD), Russian Federation.

The Region ranks third amongst Russian regions and first in Siberian Federal District by forest resources. It also occupies the second place by annual distribution of forests to leaseholders in SFD.

Forest resources of Irkutsk region include:

- forest lands – 68.5 million hectares, incl., wooded lands - 62.9 million hectares,
- total timber stand - 9 billion m³, incl., 7.7 billion m³ of coniferous.

The leased forest areas of BP have the following features:

FMU 1 - Forest lease area is characterized by high percentage of forest land (98.1%) and wooded land (92.2%). Coniferous stands account for 94% of wooded land with pine accounting for 63% of total wooded land and spruce, fir tree, larch and cedar – 8%, 1%, 15% and 8% correspondingly. Average age of coniferous plantations is 148 years, soft broadleaved – 61 year.

FMU 2 - The forest area is predominated by wooded lands (93.7%) covered mostly by forests of natural origin. In general, coniferous stands predominate (91%) with pine accounting for 67% of total coniferous area and cedar, larch, spruce and fir tree – 13%, 10%, 9% and 1% correspondingly. Mature and over-mature stands prevail. Average age of coniferous plantations is 122 years, soft broadleaved – 60 years.

FMU 3 – In general the forest area is predominated by coniferous stands (90.5%) with pine accounting for 76.5% of total coniferous area, larch – 7.8%, spruce – 11.4%, cedar – 4.2% and fir tree – 0.1%. Soft broadleaved stands account for 9.5% of forest land with birch accounting for 51.2% and aspen – 48.8%. Average age of coniferous plantations is 159 years, soft broadleaved – 91 year.

FMU 4 – The forest area is predominated by coniferous stands (97.5%) with larch accounting for 45% of total coniferous area, pine – 32.3%, cedar – 11.4%, spruce – 8.9%. Soft broadleaved stands account for 2.5% of forest land with birch accounting for 0.8% and aspen – 1.7%. Average age of coniferous plantations is 111 years, soft broadleaved – 34 year.

Location of forest lease areas:

- № 91-289/11 from 04.08.2011 - Kazachinsko-Lensk district, Kazachinsko-Lensk forestry district, Magistralnoe zonal forestry district, Martynovskaya dacha, Karamskoe zonal forestry district, Novoselovskaya and Karamskaya dacha (125,565 ha);
- № 91-288/11 from 04.08.2011 - Kazachinsko-Lensk district, Kazachinsko-Lensk forestry district, Kazachinskoe zonal forestry district, Cherepanskaya and Kutimsaya dacha (355,104 ha).
- № 2/8 from 18.08.2008 - Kazachinsko-Lensk district, Kazachinsko-Lensk forestry district, Magistralnoe zonal forestry district, Martynovskaya dacha (25,456 ha);
- № 3/8 from 18.08.2008 - Kazachinsko-Lensk district, Kazachinsko-Lensk forestry district, Magistralnoe zonal forestry district, Magistralnaya and Nebelskaya dacha (8,673 ha);

The total area of the certified areas on four forest management units – 514,798 ha.

Company has valid FSC certificates:

- NC-FM/COC-014074 (Licence code FSC-C109710),
- NC-COC-014069 / NC -CW-014069 (Licence code FSC-C110772).

There are no CITES and IUCN species in the lease forest areas which are used by BP in their production.

Species used in production: - Scots pine (*Pinus sylvestris*) - Siberian larch (*Larix sibirica*).

On the certified area is dominated by boreal forest, classified as operating, there are virgin forests, and they are excluded from harvesting, as well as live representatives of indigenous peoples and their interests are taken into account. The areas climate is sharply continental, and their territory equated with the far North.

On lease land is being used for cutting area up to 50 ha, and all reforestation work, high-quality reproduction of forest resources and protective measures.

Socio-economic environment of districts where lease forest areas are located.

Kazachinsko-Lensk district

Kazachinsko-Lensk district belongs to a group of Prilensk districts equated to the Far North districts. It borders Buryat Republik on the east, Kirensk district (Irkutsk region) on the north, Ust-Kut and Zhigalov districts (Irkutsk region) on the west and Kachug district of Irkutsk region on the south. This relatively small for periphery district occupies 4.3% of the region’s territory (embracing the area of 33.3 thousand km²). The district has relatively young population of 18 thousand: working-age population makes up 65.5% of the total population and under-age population makes up 26.6%.

Kachug district

Kachug district is located in southeastern part of Irkutsk region. The district’s territory covers upper course basin of the Lena River and the Kirenga River. Southeastern part of the district is occupied by mounting slopes and foothills of Onog and Primorsk Ridges ensuring mountainous terrain. Northwestern part of the district lies on high (up to 900 m) and wide leveled watershed between Lena and Kirenga rivers. In southwest the district spans through hilly terrain of Manzursk Upland. The district borders Olkhon and Bokhan districts (Irkutsk region) on the east and the southeast, Zhigalov district (Irkutsk region) on the northwest and Kazachinsko-Lensk district (Irkutsk region) on the north. The district occupies area of 32 thousand square kilometers. The district has population of 20.58 thousand of which working-age population accounts for 8.9 thousand. The district comprises of 13 municipal units, all having status of rural settlement.

Detailed information about the supply base region (general description of the forest resources and forest management practices within the Supply Base) is publicly available at the BP’s homepage:

http://www.rusforest.com/downloads/Operations/RFMag_RB_Report_2016.pdf

http://www.rusforest.com/downloads/Operations/RFMag_RB_Report_2016_en.pdf

5.3 Detailed description of Supply Base

Total Supply Base area (ha):	515 034.9 ha
Tenure by type (ha):	100% state owned, 100% private management
Forest by type (ha):	515 034.9 ha boreal
Forest by management type (ha):	514 798 ha natural forests, managed according to forest lease agreements. 236.9 ha of natural forest, purchased at auction, according to the Order of Forest Agency of Irkutsk Region # 59-agr from January 13, 2016
Certified forest by scheme (ha):	514 798 ha (specifically, FSC)

5.4 Chain of Custody system

The BP is holding valid FSC Chain of Custody and FSC Controlled wood certificate <http://info.fsc.org/details.php?id=a02400000095FmJAAU&type=certificate&return=certificate.php> .

BP is implementing FSC credit system. FSC Credit system is used for materials received as FSC certified, FSC Controlled wood and feedstock verified according to the BP's own Controlled wood verification system. The Controlled wood system is covering the whole Russia (according to Russian National Risk Assessment) however in fact the controlled material is coming occasionally from a single neighbouring supplier. Relevant supplier list is maintained.

After the reception, incoming primary feedstock (saw logs) is registered in BP's database and processed at sawmilling facilities. Relevant credit accounts are maintained for all FSC product groups (sawn material, wood chips, sawdust, pellets). Conversion factors are established and regularly revised based on actual production data. Pellets are produced of the secondary feedstock (sawdust and wood chips).

In case of the FSC and/ or SBP sales the volume of sold pellets is withdrawn from the credit account.

6 Evaluation process

6.1 Timing of evaluation activities

Onsite annual audit was conducted on January 30-31, 2017 (16h). Assessment activities included documents review at office, inspection of production facilities and staff interviews.

Activity	Location	Date/time
Opening meeting*	Office	30/01/2017 09.00-09.30
Documents and procedures review. Inputs review	Office	30/01/2017 09:30-12.15
Break		30/01/2017 12:15-13:00
GHG calculation review	Office	30/01/2017 13:00-17:00
Presentation of the results of the first day of assessment	Office	30/01/2017 17:00-17:30
Opening meeting	Office	31/01/2017 08:00-08:15
Chain of custody review (site tour); interview with the chief of pellet production	Production facilities	31/01/2017 8:15 – 10:00
Interview with chief accountant	Accounting department	31/01/2017 10:00-10:30
Documents and procedures review; staff interview.	Office	31/01/2017 10:30 – 16:00

Closing meeting*	Office	31/01/2017 16:00 – 17:00
End of the evaluation		31/01/2017 17:00

6.2 Description of evaluation activities

Composition of audit team:

Auditor(s), roles	Qualifications
Nikolai Tochilov	NEPCon SBP lead auditor. He passed SBP auditor training in Tallinn in January 2015; previous experience with more than 15 SBP assessments in Russia. Role at the audit: lead auditor
Natalia Zaladinova	NEPCon FSC CoC lead auditor. She passed SBP lead auditor training course in December 2016 in Amsterdam and participated in a number of SBP assessments in Russia in training purposes. Role at the audit: auditor in training.

The annual audit visit was focused on management system evaluation: division of the responsibilities, document and system, input material classification (reception and registration), analysis of the existing FSC system and FSC system control points as well as GHG data availability.

Description of the annual audit evaluation:

All SBP related documentation connected to the SBP as well as FSC CoC system of the organisation, including SBP Procedure, GHG data calculations/ data sheet, Supply Base Report and FSC system description was provided by the company in the beginning of the audit. Audit started with an opening meeting attended by the SBP responsible person and the management of the organization.

Auditor introduced himself, provided information about audit plan, methodology, auditor qualification, confidentiality issues, and assessment methodology and clarified certification scope. During the opening meeting the auditor explained CB’s approval related issues.

After that auditor went through all applicable requirements of the SBP standards nr.2, 4, 5 and instruction document 5a covering input clarification, existing chain of custody system, management system, CoC, recordkeeping/mass balance requirements, emission and energy data and categorisation of input and verification of SBP compliant biomass. During the process overall responsible person for SBP system and other staff were interviewed.

After that a roundtrip around BP’s pellet production was undertaken.

At the end of the audit findings were summarised and audit conclusion based on use of 3 angle evaluation method were provided to the management and SBP responsible person.

6.3 Process for consultation with stakeholders

No stakeholder consultations conducted prior and during annual audit.

7 Results

7.1 Main strengths and weaknesses

Strength: Use of the FSC credit system. All secondary feedstock in the reporting period was FSC certified (FSC Mix Credit or controlled material from BP's own program of controlled material suppliers verification according to FSC-STD-40-005 (2-1), Annex 3).

Weaknesses: See NCRs raised during this audit.

7.2 Rigour of Supply Base Evaluation

Not applicable.

7.3 Compilation of data on Greenhouse Gas emissions

During the annual audit the BP has already implemented all the requirements for collection of energy data.

7.4 Competency of involved personnel

The SBP responsible staff has shown good understanding of the requirements in relation to SBP certification and FSC CoC system.

7.5 Stakeholder feedback

No stakeholder comments are received

7.6 Preconditions

None.

8 Review of Biomass Producer's Risk Assessments

Not applicable.

9 Review of Biomass Producer's mitigation measures

Not applicable.

10 Non-conformities and observations

NCRs issued during the assessment 2016

NCR: 01/16	NC Classification: minor	
Standard & Requirement:	SBP Standard # 2, requirement 7.3, requirement 2C 4.1	
Description of Non-conformance and Related Evidence:		
<p>BP used the previous version of Supply Base Report template when preparing to SBP assessment. During assessment report preparation, new version of Supply Base Report (version 1.1) have been developed by SBP.</p> <p>Организация использовала предыдущую форму отчета о ресурсной базе при подготовке к оценке SBP. Во время подготовки отчета об оценке SBP была разработана новая версия (1.1) отчета о ресурсной базе.</p>		
Corrective action request:	<p>Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above.</p> <p>Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.</p>	
Timeline for Conformance:	Until the next surveillance audit	
Evidence Provided by Organisation:	Supply Base Report dated 12.01.2017	
Findings for Evaluation of Evidence:	BP submitted updated version of SBR which has been prepared using the latest version of the SBR template (version 1.2).	
NCR Status:	CLOSED	
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

NCR: 02/16	NC Classification: minor	
Standard & Requirement:	SBP Standard # 2, requirement 2C, 4.1	
Description of Non-conformance and Related Evidence:		
<p>Following mistakes identified in Supply Base Report:</p> <p>The total area of FSC-certified supply base in Supply Base Report, section 2.5, is specified as 746352 ha, whereas in FSC database the total area for Lesprom LLC FSC FM/COC certificate http://info.fsc.org/details.php?id=a0240000008QELqAAO&type=certificate&return=certificate.php is 514798 ha.</p> <p>Close of last CB audit (Section 1, Overview) is specified as 15 October 2015, where as in fact the assessment was conducted on November 25-26, 2015. Date report finalized is specified as 12 October, 2015.</p> <p>В отчете о ресурсной базе выявлены следующие ошибки:</p> <p>Общая FSC сертифицированная площадь ресурсной базы, указанная в Отчете о ресурсной базе, составляет 746352 га. В то же время, в публичной базе данных сертификатов FSC для ООО «Леспром» http://info.fsc.org/details.php?id=a0240000008QELqAAO&type=certificate&return=certificate.php указана площадь 514798 га.</p>		

В разделе 1 (Обзор) указана дата закрытия последнего аудита, проведенного органом сертификации, 15 октября 2015 г. На самом деле оценка проводилась в период 25-26 ноября 2015 г. Дата финализации отчета Организацией указана 12 октября 2015 г.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	Until the next surveillance audit
Evidence Provided by Organisation:	Supply Base Report dated 12.01.2017
Findings for Evaluation of Evidence:	SBR has been prepared using the latest available version of the template. All information in Supply Base Report is updated and is correct.
NCR Status:	CLOSED
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

NCR: 03/16	NC Classification: minor
Standard & Requirement:	SBP Instruction 5A, requirement 7.1
Description of Non-conformance and Related Evidence:	
<p>BP determined one product group - Other Feedstock (Group 7, includes all feedstock not included in 1-6 above.). However according to SBP classification, the feedstock with FSC claims (100% or Mix Credit) falls to Group 6 – SBP-compliant secondary feedstock (excluding anything in Product Groups 4 and 5). And the feedstock with FSC Controlled Wood claim falls to Group 4 – Secondary Feedstock supplier under a claim under an SBP approved controlled feedstock claim (specifically FSC).</p> <p>Организация определила одну группу продукции - Other Feedstock (Группа 7). Однако, согласно классификации SBP, материал с заявлениями FSC (100% или Mix Credit) относится к Группе 6 – SBP-compliant secondary feedstock. Материал с заявлением FSC Controlled Wood относится к Группе 4 – Secondary Feedstock supplier under a claim under an SBP approved controlled feedstock claim (specifically FSC).</p>	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	Until the next surveillance audit
Evidence Provided by Organisation:	Profiling Information Batch Specific Data Energy Use Data
Findings for Evaluation of Evidence:	BP determined two product groups - Group 4. Secondary Feedstock supplier under a claim under an SBP approved controlled feedstock claim (specifically FSC) and Group 6. SBP-compliant secondary feedstock (excluding anything in Product Groups 4 and 5).
NCR Status:	CLOSED
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

NCR: 04/16	NC Classification: minor	
Standard & Requirement:	SBP Standard #4 requirement 6.2.1	
Description of Non-conformance and Related Evidence:		
<p>It is not specified in SBP procedure that energy and carbon data are collected using the latest version of SBP Standard 5</p> <p>В процедуре SBP не указано, что информация о затратах энергии должна собираться с использованием самой последней версии стандарта SBP № 5.</p>		
Corrective action request:	<p>Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above.</p> <p>Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.</p>	
Timeline for Conformance:	Until the next surveillance audit	
Evidence Provided by Organisation:	SBP Procedure	
Findings for Evaluation of Evidence:	Section 3.6 of SBP procedure states that energy and carbon data shall always be collected using the latest version of SBP Standard 5	
NCR Status:	CLOSED	
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

New NCRs / Вновь выставленные отчеты об устранении несоответствия

NCR: 01/17	NC Classification: minor / незначительное	
Standard & Requirement:	SBP Standard # 4 requirement 5.2.3	
Description of Non-conformance and Related Evidence:		
<p>Verification of the results of controlled material suppliers verification showed that the documents do not contain evidence of consultations conducted with the local communities conducted within the Controlled wood verification program.</p> <p>Анализ актов проверки контролируемых поставщиков показал, что в данных актах нет свидетельств того, что были проведены консультации с местным населением.</p>		
Corrective action request:	<p>Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above.</p> <p>Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.</p>	
Timeline for Conformance:	By the next audit, but not later than 12 months after report finalization date (29/04/2018) / До следующего ежегодного	

	аудита, но не позднее 12 месяцев с даты утверждения отчета (29/04/2018)	
Evidence Provided by Organisation:	PENDING	
Findings for Evaluation of Evidence:	PENDING	
NCR Status:	OPEN	
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

NCR: 02/17	NC Classification: minor / незначительное	
Standard & Requirement:	SBP Standard # 4 requirement 5.5.3.	
Description of Non-conformance and Related Evidence:		
<p>During the reporting period the BP specified the SBP claim incorrectly: SPB compliant biomass instead of SPB-compliant biomass.</p> <p>В отчетный период организация указывала неверно SBP заявление: SPB compliant biomass вместо SPB-compliant biomass.</p>		
Corrective action request:	<p>Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above.</p> <p>Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.</p>	
Timeline for Conformance:	By the next audit, but not later than 12 months after report finalization date / До следующего ежегодного аудита, но не позднее 12 месяцев с даты утверждения отчета	
Evidence Provided by Organisation:	Invoice #5 dated of 15.02.2017	
Findings for Evaluation of Evidence:	<p>At the time of the audit the BP made changes to the accounting program indicating the correct SBP claim. And mention that claim is selected from accounting system when the sales documents are issued. During the time of the report preparation, the BP provided the example of invoice where all the information was correct. (Invoice #5 dated of 15.02.2017).</p> <p>В момент проведения аудита организация внесла изменения в бухгалтерскую программу, указав верное SBP заявление. Заявление выбирается автоматически из бухгалтерской программы при формировании документов на продажу пеллет. В период написания отчета Организация предоставила инвойс, где вся информация верна (инвойс #5 от 15.02.2017 г)</p>	
NCR Status:	CLOSED	
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

OBS / Наблюдение: 01/17	Standard & Requirement:	SBP Standard # 2 requirement 19.3
	Report Section	Appendix 2
Description of findings leading to observation:	<p>Initial Supply Base Report was reviewed by independent and competent peer reviewers (Kirov centre of forest certification, the head of the WWF Russia Forest Program). New version of SBR was not sent to independent and competent peer reviewer.</p> <p>Первоначальная версия отчета о ресурсной базе была передана для независимого рецензирования экспертам (Кировский центр лесной сертификации, директор Лесной программы WWF Россия). Новая версия отчета о ресурсной базе на независимое и компетентное рецензирование не передавалась.</p>	
Observation:	<p>BP is recommended to submit updated SBR to independent and competent peer reviewer.</p> <p>Организации рекомендуется передавать отчет о ресурсной базе, в случае его обновления, на независимое рецензирование компетентному эксперту.</p>	

OBS/ Наблюдение 02/17	Standard & Requirement:	
	Report Section	
Description of findings leading to observation:	<p>In reporting period BP collected information about energy and carbon using of Instruction document 5A Collection and Communication of Data version 1.0. March 2015.</p> <p>В отчетном периоде организация собирала информацию о затратах энергии с использованием инструкции Instruction document 5A Collection and Communication of Data version 1.0. March 2015</p>	
Observation:	<p>The new version of instructions 5A, 5B and 5C will come into force since April 1, 2017 for SBP certificate holders. BP is recommended to collect the information according to the new instructions by the next annual audit.</p> <p>С 1 апреля 2017 года для держателей сертификатов SBP вступает в силу новая версия V 1.1. инструкций 5А, 5В и 5С. Организации рекомендуется собрать к следующему ежегодному аудиту информацию согласно новым инструкциям.</p>	

11 Certification decision

Based on Organisation's conformance with SBP requirements, the auditor makes the following recommendation:	
<input checked="" type="checkbox"/>	Certification approved: Upon acceptance of NCR(s) issued above
<input type="checkbox"/>	Certification not approved:
Based on auditor's recommendation and NEPCon quality review following certification decision is taken:	
NEPCon certification decision: Certification is maintained upon acceptance of minor NCRs raised in this report.	
Certification decision by: Olesja Puiso	
Date of decision: 29.04.2017	

12 Surveillance updates

Note: Surveillance updates shall be provided to SBP as specified in SBP Standard 3: Certification Systems: Requirements for Certification Bodies.

12.1 Evaluation details

Please see in a section: p.6.2. Description of evaluation activities.

12.2 Significant changes

None

12.3 Follow-up on outstanding non-conformities

-

12.4 New non-conformities

See information about the new NCRs identified during the surveillance audit in section 10 of the report.

12.5 Stakeholder feedback

No comments or complaint from the stakeholders had been received.

12.6 Conditions for continuing certification

No preconditions are identified. List of open NCR is available in section 10 of the report.

12.7 Certification recommendation

Audit team recommends maintaining the certification active.

13 Evaluation details

Primary Responsible Person: (Responsible for control system at site(s))	Nadezhda Ovchinnikova, certification responsible
Auditor(s):	Nikolai Tochilov, Natalia Zaladinova
People Interviewed, Titles:	Nadezhda Ovchinnikova, certification responsible Alexander Aksenov, executive director Igor Zhakota, chief of pellet production site Tatyana Dudetskaya, safety engineer Vera Persidskaya, chief accountant Svetlana Polenok, deputy chief accountant Alena Kontareva, accountant Galina Kovalchuk, accountant Veronika Dergacheva, economist Ivan Gushch, operator Evgeny Semikoz, operator
Brief Overview of Audit Process for this Location:	See section 6.2
Comments:	No comments