

NEPCon Evaluation of Rewaste Energy BV Compliance with the SBP Framework: Public Summary Report

www.sbp-cert.org



Completed in accordance with the CB Public Summary Report Template Version 1.0

*For further information on the SBP Framework and to view the full set of documentation see
www.sbp-cert.org*

Document history

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1 Overview

CB Name and contact: NEPCon OÜ, Filosoofi 31, 50108 Tartu, Estonia

Primary contact for SBP: Ondrej Tarabus ot@nepcon.org, +420 606 730 382

Report completion date: 16/Jul/2018

Report authors: Nikolai Tochilov, Debora van Boven-Flier

Certificate Holder: Rewaste Energy BV, Kranebittenbaan 14 3045 AW Rotterdam Netherlands

Producer contact for SBP: Jaco ter Steege, Director. Phone:+31 6 46042595I
Email: j.tersteeg@rewaste.nl

Certified Supply Base: Not applicable - trader

SBP Certificate Code: SBP-07-02

Date of certificate issue: 25/Jul/2018

Date of certificate expiry: 24/Jul/2023

Indicate where the current audit fits within the certification cycle				
Main (Initial) Audit	First Surveillance Audit	Second Surveillance Audit	Third Surveillance Audit	Fourth Surveillance Audit
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2 Scope of the evaluation and SBP certificate

Scope description: Trading of wood pellets, for use in energy production, including transport from Biomass Producers in Russia to different end points all over the world. The certificate scope does not include Supply Base Evaluation.

Scope of the evaluation is indicated in the table below:

Scope Item	Check all that apply to the Certificate Scope				Change in Scope (N/A for Assessments)	
Approved Standards:	SBP Standard #4 V1.0 SBP Standard #5 V1.0 https://sbp-cert.org/documents				<input type="checkbox"/>	
Primary Activity:	Broker/trader without physical possession				<input type="checkbox"/>	
Input Material Categories:	<input type="checkbox"/> SBP-Compliant Primary Feedstock	<input type="checkbox"/> SBP-Compliant Secondary Feedstock			<input type="checkbox"/>	
	<input type="checkbox"/> Controlled Feedstock	<input type="checkbox"/> SBP non-Compliant Feedstock				
	<input type="checkbox"/> SBP-Compliant Tertiary biomass	<input type="checkbox"/> Post-consumer Tertiary Feedstock				
	<input type="checkbox"/> SBP-approved Recycled Claim	<input type="checkbox"/> Post-consumer Tertiary Feedstock				
Chain of custody system implemented:	<input checked="" type="checkbox"/> FSC	<input type="checkbox"/> PEFC	<input type="checkbox"/> SFI	<input type="checkbox"/> GGL	<input type="checkbox"/>	
	<input checked="" type="checkbox"/> Transfer	<input type="checkbox"/> Percentage	<input type="checkbox"/> Credit		<input type="checkbox"/>	
Points of sales	<input type="checkbox"/> Harbour – Permanent storage (Storage site)	<input type="checkbox"/> Harbour – Temporally storage (Logistic site)	<input checked="" type="checkbox"/> Other point of sale (e.g. gate of the BP, boarder, railway station etc.)		<input type="checkbox"/>	

Provide name of all points of sales			Different points of sale in different countries under different Incoterms delivery conditions.	
Use of SBP claim:	<input checked="" type="checkbox"/> Yes		<input type="checkbox"/> No	<input type="checkbox"/>
SBE Verification Program:	<input type="checkbox"/> Low risk sources only		<input type="checkbox"/> Sources with unspecified/ specified risk	<input type="checkbox"/>
	New districts approved for SBP-Compliant inputs:			
Sub-scopes				<input type="checkbox"/>
Specify SBP Product Groups added or removed:				
Comments:				

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the Biomass Trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations.

4 SBP Standards utilised

4.1 SBP Standards utilised

Chain of Custody, SBP Standard 4, Version 1.0, March 2015

Collection and Communication of Data, SBP Standard 5, Version 1.0, March 2015

Instruction-Document-5A-Collection-and-Communication-of-Data, -v1-1-Oct16

Instruction-Document-5B-Energy-and-GHG-Data-v1-1-Oct16

Documents are available at <https://sbp-cert.org/documents>

4.2 SBP-endorsed Regional Risk Assessment

Not applicable.

5 Description of Biomass Producer, Supply Base and Forest Management

5.1 Description of Organisation

Organisation is a trader without physical possession having legal address in Rotterdam, Netherlands. Certificate scope will cover purchase of SBP-certified / FSC-certified wood pellets from biomass producers in Russia and its transportation to different points of sale in different countries under different Incoterms delivery conditions.

5.2 Description of Biomass Producer's Supply Base

Not applicable for trader.

5.3 Detailed description of Supply Base

Not applicable for trader.

5.4 Chain of Custody system

The Organisation is holding valid FSC Chain of certificate

<https://info.fsc.org/details.php?id=a02f300000e0tEaAAI&type=certificate>

Organisation implements FSC transfer system of claims for biomass trade. FSC certificate scope covers wood pellets purchase and sale without physical possession to different points of sale in different countries under different Incoterms delivery conditions. Possible FSC input and output claims are FSC 100%, FSC Mix Credit, FSC Recycled.

6 Evaluation process

6.1 Timing of evaluation activities

Onsite assessment was conducted on July 6, 2018.

Activity	Location	Date/time
Opening meeting*	Office	06/07/2018 10.00-10.10
Documents and procedures review, staff interview.	Office	06/07/2018 10.10-11.20
Closing meeting*	Office	06/07/2018 11.20-11.30
End of the evaluation	Office	06/07/2018 11.30

6.2 Description of evaluation activities

Composition of audit team:

Auditor(s), roles	Qualifications
Nikolai Tochilov	NEPCon SBP lead auditor. He has successfully passed SBP auditor training in Tallinn, Estonia in January 2015; previous experience with more than 30 SBP assessments and annual audits of biomass producers and traders in Russia and Europe. Role at the assessment: SBP audit team leader
Debora van Boven-Flier	Debora has an MSc in Tropical Forestry from Wageningen University and Research Centre, the Netherlands. She has participated in various lead auditor courses in 2009 and 2011 and she has been conducting audits since 2008. She has successfully passed SBP auditor training in Amsterdam, Netherlands in April 2015; Witnessed two SBP audits. Role at the assessment: SBP auditor in training

SBP-related documentation was sent to audit team for review prior to onsite visit. Due to the flight cancelation at the very last moment, audit team leader was not able to come to Organisation office at Rotterdam in agreed time,

therefore he joined the assessment by Skype videocall, whereas auditor in training participated in assessment onsite (at Organisation office). Assessment activities included documents review, as well as staff interviews.

Audit started with an opening meeting attended by Organisation management.

Audit team leader introduced the audit team, provided information about audit plan, methodology and aim of the evaluation. CB's accreditation related issues and confidentiality issues were covered as well.

After that auditor went through all applicable requirements of evaluation standards and instruction documents. During the process, both responsible persons for SBP system were interviewed as well as relevant documents reviewed.

During the closing meeting audit team leader explained the results of the audit and further actions were discussed.

Impartiality commitment: NEPCon commits to using impartial auditors and our clients are encouraged to inform NEPCon management if violations of this are noted. Please see our Impartiality Policy here: <http://www.nepcon.org/impartiality-policy>

6.3 Process for consultation with stakeholders

SBP was informed about forthcoming evaluation of Organisation prior to the onsite assessment. No direct stakeholders consultations conducted by NEPCon prior, during or after assessment, as the Organisation is biomass trader.

7 Results

7.1 Main strengths and weaknesses

Main strengths: Simple and transparent supply chains; FSC and SBP management systems have been developed and implemented by staff.

Main weaknesses: None.

7.2 Rigour of Supply Base Evaluation

Not applicable.

7.3 Compilation of data on Greenhouse Gas emissions

Organisation explained that they expect that biomass producers will most likely be supplying biomass at CIF Incoterms conditions; the biomass then will be sold to customer on board of the vessel upon its acceptance. Therefore, the biomass will be in Organisation's ownership during the very limited time, and most likely, no energy use will occur during that time. However, Organisation claimed that in case of delivery conditions changing, if any energy use occur, they will collect and register relevant data.

7.4 Competency of involved personnel

The SBP responsible staff has shown good understanding of the requirements in relation to SBP certification and FSC CoC system.

7.5 Stakeholder feedback

No stakeholder comments received.

7.6 Preconditions

None.

8 Review of Biomass Producer's Risk Assessments

Not applicable.

9 Review of Biomass Producer's mitigation measures

Not applicable.

10 Non-conformities and observations

No non-conformities identified during this assessment.

OBS: 01/18	Standard & Requirement:	SBP ID 5B, requirement 3.3.1
	Report Section	Appendix C p. 8.1
Description of findings leading to observation:	Organisation explained that they expect that biomass producers will most likely be supplying biomass at CIF Incoterms conditions; the biomass then will be sold to customer on board of the vessel upon its acceptance. Therefore, the biomass will be in Organisation's ownership during the very limited time, and most likely, no energy use will occur during that time. However, Organisation claimed that in case of delivery conditions changing, if any energy use occur, they will collect and register relevant data. Organisation has furthermore prepared the demo version of SREG, which content was discussed during the SBP assessment.	
Observation:	Organisation is recommended to submit the first actual SREG document for review to NEPCon, prior to its use.	

Please list of the non-conformities which are likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?

Note: use NCR numbers:

none

11 Certification decision

Based on Organisation's conformance with SBP requirements, the auditor makes the following recommendation:	
<input checked="" type="checkbox"/>	Certification approved: No NCR(s) issued
<input type="checkbox"/>	Certification not approved:
Based on auditor's recommendation and NEPCon quality review following certification decision is taken:	
NEPCon certification decision:	
Certification approved and the certificate can be issued	
Certification decision by: Ondrej Tarabus	
Date of decision: 16.07.2018	
Next surveillance audit should take place:	<input checked="" type="checkbox"/> within 12 months <input type="checkbox"/> more frequently (please specify)

12 Surveillance updates

12.1 Evaluation details

Not applicable for assessment

12.2 Significant changes

Not applicable for assessment

12.3 Follow-up on outstanding non-conformities

Not applicable for assessment

12.4 New non-conformities

Not applicable for assessment

12.5 Stakeholder feedback

Not applicable for assessment

12.6 Conditions for continuing certification

Not applicable for assessment

12.7 Certification recommendation

Not applicable for assessment

13 Evaluation details

Primary Responsible Person: (Responsible for control system at site(s))	Jaco ter Steege, Director
Auditor(s):	Nikolai Tochilov, Debora van Boven-Flier
People Interviewed, Titles:	Jaco ter Steege, Director Theo van Kuijck, Director
Brief Overview of Audit Process for this Location:	See section 6.2
Comments:	No comments