



# Control Union Certifications B.V. Evaluation of RWE Eemshaven Holding II B.V. Compliance with the SBP Framework: Public Summary Report

First Surveillance Audit

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# Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see [www.sbp-cert.org](http://www.sbp-cert.org)*

## *Document history*

*Version 1.0: published 26 March 2015*

*Version 1.1: published 30 January 2018*

*Version 1.2: published 4 April 2018*

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# 1 Overview

CB Name and contact: Control Union Certifications; Meeuwenlaan 4-6; P.O.Box 161, 8000AD Zwolle, Netherlands. certification@controlunion.com

Primary contact for SBP: Andrea Ferrazzo, +31 (0)657312287

Current report completion date: 10/Jul/2020

Report authors: Mr. Lennart Holm (Lead Auditor) and Mr. Andrea Ferrazzo (Certifier)

Name of the Company: RWE Eemshaven Holding II B.V., Amerweg 1, 4931 NC Geertruidenberg NL

Company contact for SBP: Roel Kruit, +31 (0) 6 52 31 06 13

Certified Supply Base: N/A – end user

SBP Certificate Code: SBP-06-32

Date of certificate issue: 22/Aug/2019

Date of certificate expiry: 21/Aug/2024

This report relates to the First Surveillance Audit

## 2 Scope of the evaluation and SBP certificate

**Scope of evaluation** Surveillance evaluation by means of an remote Desk audit to assess the organizations conformance to SBP standards 4, and 5 and respective Instruction Notes and Documents, at RWE Eemshaven Holding II B.V., Amerweg 1, 4931 NC Geertruidenberg NL. COVID-19 Normative Requirements of 22/04/2020 was adhered to when completing this report.

**Scope of certificate:** The scope of the certificate includes trading and storage of wood pellets for use in energy production at RWE Eemshaven Holding II B.V. The scope of the certificate does not include a supply base evaluation.

### 3 Specific objective

The specific objective of this evaluation was to confirm that the organizations management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certificati

The scope of the evaluation covered:

- Review of the management procedures;
- Review of Chain of Custody system control points and an analysis of the existing FSC and PEFC CoC system;
- Interviews with responsible staff;
- Review of the records, calculations and conversion coefficients; and
- GHG data collection analysis.

## 4 SBP Standards utilised

### 4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

### 4.2 SBP-endorsed Regional Risk Assessment

Not applicable - No SBP endorsed Regional Risk Assessment was used for this assessment

# 5 Description of Company, Supply Base and Forest Management

## 5.1 Description of Company

RWE Eemshaven Holding II B.V. is, being a power plant, an end user of biomass. For SBP, the power plant has a biomass trader scope to be able to buy and sell biomass with an SBP claim. The company does not have the aim to sell biomass, but in order to manage the flow of incoming biomass for the plant, the option to so sell surpluses of biomass is possible, and their COC system can accommodate this.

The company is a coal power plant, planning to use 15% of biomass input. Delivery takes place by vessels or trucks. On the premises the company is able to store and buffer biomass prior to usage. The company consists of many technical people, safety on the site is highest priority. Mr R. Kruit (Senior Risk & Quality officer) is responsible for the SBP system.

The company is GGL, PEFC and FSC CoC certified.

## 5.2 Description of Company's Supply Base

Not applicable, The company is a trader and End User

## 5.3 Detailed description of Supply Base

Not applicable, The company is a trader and End User

## 5.4 Chain of Custody system

The organization has implemented FSC transfer and PEFC physical separation systems with biomass (wood pellets and wood chips) in the scope of their certificates. The process covers trade of biomass with physical possession. Valid FSC and PEFC system description and other documents exist. As a trading company and end user, they buy biomass (wood pellets) by sea mainly without storage.

Valid FSC and PEFC system description and other documents exist.



## 6 Evaluation process

### 6.1 Timing of evaluation activities

The audit of the company's documentation occurred on June 22 and 24, 2020. Due to the COVID-19 virus, this evaluation did not include an on-site visit, but was done remotely. COVID-19 Normative Requirements of April 22, 2020 was adhered to when completing this report.

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate.

Activity	Date	Executed by
Chain of Custody Review	22/06/2020	Lennart Holm (lead auditor)
GHG paper audit and evidence review.	22/06/2020	Lennart Holm (lead auditor)
Management system review and Closing Meeting	22/06/2020	Lennart Holm (lead auditor)
Report writing	10/07/2020	Lennart Holm (lead auditor)

### 6.2 Description of evaluation activities

This was a remote audit due to COVID-19 restrictions and was being undertaken following COVID-19 Normative Requirements of 22 April, 2020.

In this remote audit, Control Union used information and communication technology (ICT) to evaluate all requirements from the annual audit plan to the extent possible. The audit was conducted on the basis of virtual meetings / interviews with relevant people of the certificate holder, relevant documents and records, and other best available information.

This remote audit consisted of an opening meeting, during which the scope was confirmed. The auditor also explained the methods to be employed during the audit.

After this introduction, all relevant requirements of the applicable SBP standard(s) were verified on compliance through the use of a report template and checklists.

The audit was completed by filling in the audit report and discussing the audit results. Critical Control points were evaluated and found to be sufficiently managed and a closing meeting performed.

<b>1. Names and affiliations of people interviewed</b>	
Name:	Affiliation:
Roel Kruit	RWE Generation NL B.V.
Yousef Mohammad Housseini	RWE Generation NL B.V.
Wouter Timmermanns	RWE Generation NL B.V.
<b>2. Critical control points, summary</b>	
<i>Identified CCP</i>	<i>Evaluation CCP</i>
Purchase and sales: Checking of Valid certificates in case of SBP	Procedures in place. Training done. Interview confirms understanding of the system.
Purchase and sales: Checking of Valid entries of purchases into DTS, and entering sales into DTS	Procedures in place. Training done. Interview confirms understanding of the system. Verified entries into DTS.
Volume control	Physical separation controlled in the RWE-Biomass-CoC-certification tracker-GCC-NL spread sheet'
Labelling	No labelling, but Trademark agreement signed 04/07/2019

## 6.3 Process for consultation with stakeholders

Not applicable, The company is a Biomass Trader and End User

# 7 Results

## 7.1 Main strengths and weaknesses

The audit of RWE demonstrated a good level of compliance with the required criteria of Standard 4 and 5. The depth procedures and record keeping are thought to be more than sufficient for the size and complexity of the company. There was reasonable evidence provided to support compliance. The existence of a FSC/PEFC Chain of Custody system in combination with GGL are considered a main strength with respect to the overall conformity with the relevant SBP standards.

No weaknesses were identified.

## 7.2 Rigour of Supply Base Evaluation

Not applicable, The company is a Biomass Trader and End

## 7.3 Collection and Communication of Data

The company has procedures for this. The company is not involved in vessel and barge transport, this is arranged by the supplier. Calculations were prepared and verified. Furthermore, the company assure completeness of incoming documentation to complete the GHG calculation.

## 7.4 Competency of involved personnel

The company consist of a quality department where the senior staff member has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel

## 7.5 Stakeholder feedback

Not applicable, the company is a trader and end-user so no stakeholder consultation is executed by the company or by the CB.

## 7.6 Preconditions

N/A, no preconditions

## 8 Review of Company's Risk Assessments

*Not applicable, the company is a trader and end-user (supply base evaluation is not included in the certificate scope).*

## 9 Review of Company's mitigation measures

Not applicable, the company is a trader and end-user (supply base evaluation is not included in the certificate scope).

## 10 Non-conformities and observations

*Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:*

- *applicable requirement(s)*
- *grading of the non-conformity (major or minor) or observation with supporting rationale*
- *timeframe for resolution of the non-conformity*
- *a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.*

*Third Surveillance: No Non-conformities identified.*

## 11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

<b>Certification decision:</b>	Certification approved
<b>Certification decision by (name of the person):</b>	Andrea Ferrazzo
<b>Date of decision:</b>	28/Jul/2020
<b>Other comments:</b>	<i>Click or tap here to enter text.</i>