

# Control Union Certifications B.V. Evaluation of Forestal SOLIVA SL Compliance with the SBP Framework: Public Summary Report

Main (Initial) Audit

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## Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see  
[www.sbp-cert.org](http://www.sbp-cert.org)*

### *Document history*

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# 1 Overview

CB Name and contact:	Control Union Certifications Meeuwenlaan 4--6;; P.O.Box 161, 8000ADZwolle, Netherlands. certification@controlunion.com
Primary contact for SBP:	Andrea Ferrazzo - aferrazzo@controlunion.com
Current report completion date:	29/Jul/2019
Report authors:	Mr. L. Vaz Freire (Lead Auditor)
Name of the Company:	Forestal SOLIVA SL Ctra. De Sils no 109, 17430 Sta. Coloma de Farners, GIRONA, Spain
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Certified Supply Base:	The Supply Base, as defined by SOLIVA, covers the following Spanish Autonomous Communities: Aragon; Catalunya; Castilla-La Mancha; Valencian Community; Murcia and Andalusia.
SBP Certificate Code:	SBP-06-30
Date of certificate issue:	16/Aug/2019
Date of certificate expiry:	15/Aug/2024

This report relates to the Main (Initial) Audit

## 2 Scope of the evaluation and SBP certificate

Chipping activity in the forest and office in Sta. Coloma de Farners, GIRONA, Spain., purchase the feedstock in the forest already chipped logs, in such case outsourcings the chipping activity in the forest. Feedstock used in the biomass production originates from Spain. The scope of the certificate does include a Supply Base Evaluation. The scope includes communication of Dynamic Batch Sustainability Data

The following SBP standards are applicable and form the scope of the evaluation and thus, the SBP certificate: Standard 1, Standard 2, Standard 4 and Standard 5. All material is either SBP compliant through standard 1 SBE or PEFC certified materials. Control system used to define the output claims is physical separation.

### 3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Producer's management system is capable of ensuring that all requirements of the specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the BP's management procedures;
- Review of the production processes, production site visit;
- Review of PEFC system control points and an analysis of the existing PEFC CoC system;
- Interviews with responsible staff;
- Review of the records, calculations and conversion coefficients; and
- GHG data collection analysis.
- SAR and profiling data collection analysis
- compliance assessment against Instruction Document 5D: Dynamic Batch

Sustainability Data v1.1 evaluation

## 4 SBP Standards utilised

### 4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

### 4.2 SBP-endorsed Regional Risk Assessment

SBP Endorsed Regional Risk Assessment: not applicable.

# 5 Description of Company, Supply Base and Forest Management

## 5.1 Description of Company

Forestal SOLIVA is a 70 years old, vertically integrated company specialised in the production and delivery of wood chips. It has a multidisciplinary staff of around 30 people. Its harvesting teams are equipped with forwarders, skidders, forestry tractors and chipping machines. Forestal SOLIVA has a team of engineers, responsible for the technical processes in the company. They are organizing the harvesting, chipping and transportation processes. Forestal SOLIVA is mainly engaged in thinnings with the following objectives: forest maintenance, improvement, sanitary solutions, fire protection, etc.

In total, Forestal SOLIVA harvests over 100000 tons of wood per year. Regionally, Forestal SOLIVA is considered a large company in the forest sector, in terms of economic turnover and the production of G100 wood chips (industrial quality). However, Forestal SOLIVA is not the largest in the region, there are companies harvesting much more. In Andalusia there are many companies with a vertically integrated process from harvesting, to sawn wood production. They utilize their own residues, for example, by producing wood pellets.

Sometimes there are processes that are subject to subcontracting. Some of them work exclusively for SOLIVA. Namely harvesting, chipping and transportation processes.

## 5.2 Description of Company’s Supply Base

SOLIVA is a biomass producer located in Catalunya. The Supply Base, as defined by SOLIVA, covers the following Spanish Autonomous Communities: Aragon; Catalunya; Castilla-La Mancha; Valencian Community; Murcia and Andalusia.

The scope of the Supply Base is limited to the official area of forest fund of these regions (9.5 million ha). SOLIVA harvests coniferous tree species only, namely: *Pinus halepensis*; *Pinus pinea*; *Pinus nigra*; *Pinus pinaster*. The main species is Aleppo pine (*Pinus halepensis*).

Next table indicates the forest area, canopy-covered forest area, coniferous forest area and Aleppo pine forest area for Huelva, Seville and Cadiz province.

Autonomous Community	Forest area (ha)	Canopy-covered forest area (ha)	Coniferous forest area (ha)	Aleppo pine forest area (ha)
Andalusia	4.345.500	2.641.000	824.700	564.910
Aragon	2.615.332	1.543.465	953.440	186.508
Valencia	1.116.464	404.063	360.193	282.478
Castilla-La Mancha	3.564.779	2.739.597	1.103.669	No data
Catalunya	2.060.174	1.626.212	716.058	300.645



Murcia	486.019	289.550	260.595	232.401
<b>TOTAL (ha)</b>	<b>14.188.268</b>	<b>9.243.887</b>	<b>4.218.655</b>	-

Most of the forest area in the Supply Base is private property. The prevailing private forest property size in five of the six Autonomous Communities of the Supply Base is small. This is not an issue in the public forests. Managing and harvesting smallholdings is more time consuming and costly. In Andalusia, however, large forest properties predominate.

The dominant use of the land is forestry. Land use is characterized by a small number of large properties and a great number of small owners.

From a socio-economic point of view, people nowadays do not depend on forests. The forest industry is also not developed well within the regions of the Supply Base, in comparison to the rest of Spain. This is mostly due to the complex macro-relief (hills, slopes and mountains), which makes forestry operations very difficult.

The proportion of wood used as biomass within the Supply Base is relatively substantial compared to other end-uses. Aleppo pine has low quality wood and is considered unsuitable by the industry. As a result, the regional forest industry procures other tree species from all over Spain and Europe. Wood pallets and boxes for the agricultural sector are the main products produced in the Supply Base. There are also some companies producing fibreboards and a few pellet plants. These companies utilize sawmill residues and low grade roundwood. This shows that there is hardly any other application for Aleppo pine than biomass.

The use of the potential wood available in Spain is very low. For example, only 36% of the net annual growth of forests is used, while the average in the EU is 69%. Another example is that in Catalonia the forests grow 2.9 million cubic meters per year, of which only 20% are used. In addition, only 10% of the annual wood consumption of forest industries comes from Catalan forests. This panorama is repeated in the 6 regions of the supply base.

### 5.3 Detailed description of Supply Base

#### Supply Base

Total Supply Base area (ha): 14 188 268 ha

Tenure by type (ha): Privately owned: 9.724.778 ha

Public: 4.463.490 ha

Forest by type (ha): 14.188.268 ha temperate;

Forest by management type (ha): 14.188.268 ha managed natural

Certified forest by scheme (ha): FSC: 152.787 ha

PEFC: 709.773 ha

Total volume of Feedstock: 20.844.750 tonnes

Volume of primary feedstock: 20.844.750 tonnes

List percentage of primary feedstock (ha), by the following categories. Subdivide by SBP-approved Forest Management Schemes:

- Certified to an SBP-approved Forest Management Scheme: 6%
- Not certified to an SBP-approved Forest Management Scheme: 94%

• List percentage of primary feedstock

Common name	Scientific name	Percentage
Aleppo pine	<i>Pinus halepensis</i>	90%
Umbrella pine	<i>Pinus pinea</i>	10%
Black pine	<i>Pinus nigra</i>	
Maritime pine	<i>Pinus pinaster</i>	

## 5.4 Chain of Custody system

The BP holds PEFC Chain of Custody certificate with physical separation method system in the scope. All inputs certified are received as 100% PEFC certified.

Material not included in the certification scope is sourced and managed physically segregated. The CoC scope covers the purchase of roundwood in the forest, chipping and transport of biomass to be sold in the Spanish ports detailed in the scope.

The BP purchase biomass mainly is purchased in logs, the biomass producer outsources sometimes the harvesting and chipping activity in the forest.

Purchased documentation (harvesting permit, origin, specie, etc.) is reviewed and validated by SOLIVA prior to start the harvesting activities. Once the material is received in the harbours, SOLIVA double check all documentation from the supplier and the harbour to validate it. The biomass is stored in each harbour and controlled by an outsourced logistic company there.

## 6 Evaluation process

### 6.1 Timing of evaluation activities

The main assessment audit start on 6 th of May and it was conducted in accordance with the audit agenda below (which had been provided to the BP prior to the audit).

Activity	Site	Date/Time
Monday 06-05-2019		
Opening meeting	SOLIVA Auditor: LVF	15:00-15:15
Agreement on Scope	Auditor: LVF	15:15-15:30
Business integrity, social, health and safety requirements	Auditor: LVF	15:30-16:30
Logo/Trademark use	Auditor: LVF	16:30-16:45
Complaints procedures	Auditor: LVF	16:45-17:00
Chain of Custody registrations	Auditor: LVF	17:00-18:00
Final discussion / days closing meeting	Auditor: LVF	18:00-18:15
Tuesday 07-05-2019		
Day's Opening meeting	SOLIVA Auditor: LVF	09:00-09:15
Tour of the facility: - Receiving of materials - Wood Yard - Equipment used	Auditor: LVF	10:00-11:45
Field verification of SBE	Suppliers Auditor: LVF	12:00-13:00

Lunch break		13:00
Field verification of SBE	Suppliers Auditor: LVF	14:00-17:45
Final discussion / days closing meeting	Auditor: LVF	17:45-18:00
Wednesday 08-05-2019		
Day's Opening meeting	SOLIVA Auditor: LVF	09:00-09:15
Field verification of SBE	Suppliers Auditor: LVF	9:15-13:00
Lunch break		13:00
Field verification of SBE	Suppliers Auditor: LVF	14:00-17:45
Final discussion / days closing meeting	Auditor: LVF	17:45-18:00
Thursday 09-05-2019		
Day's Opening meeting	SOLIVA Auditor: LVF	09:00-09:15

GHG data registrations	Auditor: LVF	09:15-13:00
Lunch break		
GHG data registrations (cont.)	Auditor: LVF	14:00-17:45
Final discussion / days closing meeting	Auditor: LVF	17:45-18:00
Friday 10-05-2019		
Day's Opening meeting	SOLIVA Auditor: LVF	09:00-09:15
Checking the documents		09:30-10:00
Supply Base report		10:00- 12:30
Suppliers		
Incoming material		
Checking the Supply Base Evaluation		
Lunch break		12:30-13:30
Output claims		13:30-14:30
Finalization SBE audit		14:30-15:30
Verification of missing items		15:30-17:30
Final discussion /closing meeting	LVF	17:30-18:00

Two FMUs were visited, the only three were in the extraction process, all private propriety:

Owner	Region	Type of authorization	Number of authorization/notification	Especie	PEFC/SBP	Feedstock
Maria Mallafre	Vilanova d'Escornabou (Tarragona)	Authorization Forest Department	ARP_2019_EXP_M01050AP RO_00000961	<i>Pinus halepensis</i>	SBP-complaint	Primary feedstock
Adda	Culla (Castellon)	Forest Managment Plan	GVRTE/2019/237437	<i>Pinus halepensis</i>	SBP-complaint	Primary feedstock

## 6.2 Description of evaluation activities

The audit started with an opening meeting on Monday April 6 at 15:00 with attendance from the Head of Biomass Department, the main responsible for SBP and PEFC CoC procedures and the external consultant.

The BP take's physical possession and has no production facilities, chipping takes place on the forest and the material goes directly from the forest to the harbour.

The audit consisted of review of procedures, control system, feedstock reception (at the harbour). Interviews were conducted with all staff relevant to the critical control points and key responsibilities in relation to the

Biomass production (in forest) and sales of the certified products. The audit also included extensive documents review and check of calculations in regard to the GHG emission data reported by the BP.

The audit was concluded with a closing meeting with attendance by the external consultant and with the Head of SBP and PEFC Department and the Technic Department. During the closing meeting the auditor presented the conclusions of the audit, including the Observations and a few points for follow-up.

The supporting documents provided sufficient evidence to close all the preconditions identified during the initial audit.

<b>1. Names and affiliations of people interviewed</b>	
Name:	Affiliation:
Laura Ivorra	SBP Manager
Miquel SOLIVA Butiña	Director
Jordi Salvia	PEFC Manager
Miriam Broto	Administrative Staff
Jordi Turell	Driver - Subcontracted
Ana Burgasé	Euroports Supervisor
Mave Martinez	Euroports
Rem Gaurilhas	Chainsaw Operator
Traian Gurilhas	Chainsaw Operator - Subcontracted
Albert Vancelles	Forest Machinery Operator
José Portero	Mechanic
Popadiuc Vasile	Forest Machinery Operator
Popadiuc Jon	Chainsaw Operator
Prodaniu Jon	Chainsaw Operator – Subcontracted
M. Dolores Hernandez Marts	Administrative Staff

<b>2. Critical control points, summary</b>	
<i>Identified CCP</i>	<i>Evaluation CCP</i>
Sourcing and input check	Check prior to sending the material by supplier and check upon request
Reception and storage	Reception and storage of material based on credit control system.
Volume control	Credit Control system
Labelling	No trademark use
Invoicing and shipping	Certified materials are either PEFC or SBP Compliant

## 6.3 Process for consultation with stakeholders

The stakeholder consultation was started on 6th of April, 2019 by sending direct email to different stakeholder categories: state institutions, local NGOs, authorities, government bodies, forest owners associations, academic and research institutions.

The stakeholder notification letter is in the approved format of Control Union Certification.

The stakeholder consultation was send 30 days before starting the on site audit.

Only one comment was received by the BP, by a forestry association of Murcia making it available to be partners in the process. CUC no comments were received.

## 7 Results

### 7.1 Main strengths and weaknesses

The main strength of the BP lies within relatively simple production and its use of primary material which is all received as PEFC Certified or/and SBP Compliant. The Supply Base Evaluation is based on the strong control system by Spanish national or autonomous public organizations. The BP only works with one customer (this number can be increased in the future, but the BP doesn't expect to work with a big number of customers) and the chain of custody downstream is short, thus access to necessary information regarding the forest management unit of origin can be easily confirmed. For weaknesses, please see the NC's and Observations in Section 10 of this report.

### 7.2 Rigour of Supply Base Evaluation

SOLIVA embarked on the development of a detailed Supply Base Evaluation which includes a clear description of their Supply Base Area. The geographical scope of the SBE is part of Spanish Autonomous Communities: Aragon; Catalunya; Castilla-La Mancha; Valencian Community; Murcia and Andalusia. The SBE was developed in joint efforts between internal personnel and a qualified consultant, using credible data sources. SOLIVA existing management and monitoring systems are designed to ensure compliance with applicable laws and regulations. Risk was designated low for all core Indicators, with the exception of 1 Indicator which was designated as specified risk. SOLIVA has developed additional controls and mitigation measures to manage this risk. The stakeholder consultation process involved consultations to key stakeholders with regard to information on SBP certification, SBP risk assessment and supply base report, by communicating this via email. The risk mitigation measures has been designed and implemented planned in cooperation with acknowledged experts and external consultants in relevant fields.

The supply base evaluation was a rigour process with some gaps identified (see observation part to this report).

### 7.3 Collection and Communication of Data

All energy and fuel use documentation for chipping and transport has been provided by the supplier or outsourcing companies in the forest.

### 7.4 Competency of involved personnel

Internal staff members are involved in the SBP system management and implementation. All interviewed responsible staff demonstrated awareness of their responsibilities within SBP system. The key responsible person for developing the SBE system is an external consultant with experience is producing SBP systems.

All involved personnel, including responsible staff at suppliers have demonstrated good knowledge in relevant fields (ex. safety and health measures at work) during the site visits. Relevant certificates and diplomas were presented during the assessment and scope change audits. Qualification requirements for personnel involved



in the SBE system are provided in documented procedures of the BP. In overall, auditors evaluate the competency of main responsible staff to be sufficient for implementing the SBP system with primary material sourced within the SBE. This has been based on interviews, review of qualification documents, training records and set of procedures and documents that were composed for the SBP system as well as field observations during the audit. HCV, biodiversity has a follow up of the authorities with correspondence in the technicians of SOLIVA.

## 7.5 Stakeholder feedback

Not received

## 7.6 Preconditions

All the preconditions were addressed by the organization and this was confirmed by CVA audit.

## 8 Review of Company’s Risk Assessments

*Describe how the Certification Body assessed risk for the Indicators. Summarise the CB’s final risk ratings in Table 1, together with the Company’s final risk ratings. Default for each indicator is ‘Low’, click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.*

Control Union assessed the risk for each Indicator using the guidance in Section 11 of SBP Framework Standard 2: Verification of SBP--compliant Feedstock.

The risk assessment has been performed with the use of a technical expert. Determining the risk rating the likely impact of a non--compliance together with the probability of that noncompliance arising was used. And evaluated risk at both regional and the individual forest level.

**Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.**

Indicator	Risk rating (Low or Specified)		Indicator	Risk rating (Low or Specified)	
	Producer	CB		Producer	CB
1.1.1	Low	Low	2.3.3	Low	Low
1.1.2	Low	Low	2.4.1	Low	Low
1.1.3	Low	Low	2.4.2	Low	Low
1.2.1	Low	Low	2.4.3	Low	Low
1.3.1	Low	Low	2.5.1	Low	Low
1.4.1	Low	Low	2.5.2	Low	Low
1.5.1	Low	Low	2.6.1	Low	Low
1.6.1	Low	Low	2.7.1	Low	Low
2.1.1	Low	Low	2.7.2	Low	Low
2.1.2	Low	Low	2.7.3	Low	Low
2.1.3	Low	Low	2.7.4	Low	Low
2.2.1	Low	Specified	2.7.5	Low	Low
2.2.2	Low	Specified	2.8.1	Specified	Specified
2.2.3	Low	Specified	2.9.1	Low	Low
2.2.4	Low	Low	2.9.2	Low	Low
2.2.5	Low	Low	2.10.1	Low	Low
2.2.6	Low	Low			
2.2.7	Low	Low			
2.2.8	Low	Low			

2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Specified

**Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures.**

Indicator	Risk rating (Low or Specified)	
	Producer	CB
1.1.1	Low	Low
1.1.2	Low	Low
1.1.3	Low	Low
1.2.1	Low	Low
1.3.1	Low	Low
1.4.1	Low	Low
1.5.1	Low	Low
1.6.1	Low	Low
2.1.1	Low	Low
2.1.2	Low	Low
2.1.3	Low	Low
2.2.1	Low	Low
2.2.2	Low	Low
2.2.3	Low	Low
2.2.4	Low	Low
2.2.5	Low	Low
2.2.6	Low	Low
2.2.7	Low	Low
2.2.8	Low	Low
2.2.9	Low	Low
2.3.1	Low	Low
2.3.2	Low	Low

Indicator	Risk rating (Low or Specified)	
	Producer	CB
2.3.3	Low	Low
2.4.1	Low	Low
2.4.2	Low	Low
2.4.3	Low	Low
2.5.1	Low	Low
2.5.2	Low	Low
2.6.1	Low	Low
2.7.1	Low	Low
2.7.2	Low	Low
2.7.3	Low	Low
2.7.4	Low	Low
2.7.5	Low	Low
2.8.1	Low	Low
2.9.1	Low	Low
2.9.2	Low	Low
2.10.1	Low	Low

## 9 Review of Company's mitigation measures

SOLIVA has implemented mitigation measures for 1 indicator evaluated as specified risk during the assessment.

The SBE was performed for the first time in (2018). The found sustainability risks are clear. However, the practical implementation of the risk mitigation measures is a continuous process. It includes the assessment of risks and risk mitigation measures specifically related to safety and health measures at work within the Supply Base. SOLIVA had the mitigations measures already in place.

To address all possible risks, additions were made to several documents. Very important to inform the workers prior to harvesting.

It chose for an approach that SBE approves only those feedstock suppliers that show outstanding results on risk indication and mitigation.

SOLIVA approve its own harvesting teams.

It was revealed during the supplier visits that the BP has sufficient knowledge on safety and health measures at work as well as good timber harvesting practices. The sampling process is considered sufficient to verify suppliers of primary feedstock (Visit to a public owner and to a private owner).

The audit team initially considered a potential specified risk for the indicators: 2.2.1; 2.2.2; 2.2.3 and 2.3.2, considering the experience of the audit team for neighbouring countries and with similar forest characteristics. After the audit, considering the data and clarification of the mitigating measures presented in the SBE, is sufficient to demonstrate commitment to the following mitigation measures:

- Environmental impact assessment reports
- Authorization for Harvesting with verification of the regional authorities.
- Best practices in forest management with control of the responsible for each team with interviews.
- Technical specifications for the award of the contract for work in public forests with concretes recommendations for each authorization.
- Contracts with suppliers obliging SOLIVA

And the Non-conformities and observation of this report (10. Non-conformities and observations) can finish any potential doubt.

## 10 Non-conformities and observations

Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:

- applicable requirement(s)
- grading of the non-conformity (major or minor) or observation with supporting rationale
- timeframe for resolution of the non-conformity
- a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.

<b>NC number</b> 2019-01	<b>NC Grading:</b> Observation
<b>Standard &amp; Requirement:</b>	Standard 1 – 2.2.1
<b>Description of Non-conformance and Related Evidence:</b>	
It was verified through interviews with workers and coordinators that SOLIVA carries out monitoring operations, although they are low risk points. Check the pertinence of documenting these monitoring actions.	
Evidence: Supply Base Report for Biomass ProducersS OLIVA SL: Annex 1 Version 1.1 2019 (v3) Autorizacion of Medio Ambiente y Ordenación del Territorio - From each Autonomous Community for the cut. On-site verification of areas delimited by technicians of Medio Ambiente y Ordenación del Territorio - From each Autonomous Community Interview	
<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
<b>NC Status:</b>	Open

<b>NC number</b> 2019-02	<b>NC Grading:</b> Minor
<b>Standard &amp; Requirement:</b>	Standard 1 – 2.2.2

<b>Description of Non-conformance and Related Evidence:</b>	
<p>All wood cuts in the forest must have the prior authorization of the Medio Ambiente y Ordenación del Territorio - From each Autonomous Community and contracting with the owners. The management or improves soil quality is also controlled Medio Ambiente y Ordenación del Territorio - From each Autonomous Community at the time of its authorization and verification in the field. SOLIVA is also technically responsible for the teams who work on the ground. In one of the properties visited, it was verified the possibility of path erosion, it was verified that this situation was not identified. Nor are mitigating measures defined to be implemented during and after the end of work.</p> <p>Evidence: Supply Base Report for Biomass Producers SOLIVA SL: Annex 1 Version 1.1 2019 (v3) Autorizacion of Medio Ambiente y Ordenación del Territorio - From each Autonomous Community for the cut. On-site verification of areas delimited by technicians of Medio Ambiente y Ordenación del Territorio - From each Autonomous Community Interview</p>	
<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
<b>NC Status:</b>	Open

<b>NC number</b> 2019-03	<b>NC Grading:</b> Minor
<b>Standard &amp; Requirement:</b>	Standard 1 – 2.4.2
<b>Description of Non-conformance and Related Evidence:</b>	
<p>SOLIVA has implemented a Manual of good environmental practices, known to all its workers, which indicates the measures to be taken to prevent forest fires resulting from its work. Furthermore, based on the levels of risk determined by the public administration, at times of high-risk forestry work is not permitted in the area. It was possible to verify through interviews with their workers that not everyone was aware of the Manual of good environmental practices.</p> <p>Evidence: Manual of good environmental practices. Interview with works</p>	
<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>

<b>NC Status:</b>	Open
<b>NC number</b> 2019-04	<b>NC Grading:</b> Observation
<b>Standard &amp; Requirement:</b>	Standard 1 – 2.5.1
<b>Description of Non-conformance and Related Evidence:</b>	
<p>All wood cuts in the forest must have the prior authorization of the Medio Ambiente y Ordenación del Territorio - From each Autonomous Community and contracting with the owners. The Medio Ambiente y Ordenación del Territorio - From each Autonomous Community also control and ensure that legal, customary and traditional tenure and use rights of indigenous peoples and local communities related to the forest, are identified, documented and respected. SOLIVA is also technically responsible for the teams who work on the ground. It was possible to verify in one of the forest visited a pipe that could be of water supply, which is not identified in maps. Clarify the use of this pipe.</p> <p>Evidence: Supply Base Report for Biomass Producers SOLIVA SL: Annex 1 Version 1.1 2019 (v3) Autorizacion of Medio Ambiente y Ordenación del Territorio - From each Autonomous Community for the cut. Maps of the area Interview</p>	
<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
<b>NC Status:</b>	Open

<b>NC number</b> 2019-05	<b>NC Grading:</b> Minor
<b>Standard &amp; Requirement:</b>	Standard 1 – 2.7.4
<b>Description of Non-conformance and Related Evidence:</b>	
<p>The legislation in Spain is clear concerning the obligations of employers. All the employees have contracts and work assurance. It was possible to detect a subcontractor working in an individual name (a chainsaw operator) that has no contract with SOLIVA and the documentation is not complete namely, work accident insurance. The subcontractor Paisagens Florestales Noroest SL don't contract but have the work accident insurance.</p> <p>Evidence: Contracts of employment Company policies Interviews with Heads of Human Resources Interviews with employees Company policies Interview with subcontractors</p>	

<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
<b>NC Status:</b>	Open

<b>NC number 2019-06</b>	<b>NC Grading:</b> Observation
<b>Standard &amp; Requirement:</b>	Standard 4 – 5.3.1
<b>Description of Non-conformance and Related Evidence:</b>	
<p>Forestal SOLIVA S. L. (SOLIVA) sells with the weight in the port. Verify the pertinence of coding the important records like "Template_data_register. Excel". To ensure that all documents are always used in the latest versions.</p> <p>Evidence: Internal SBP Handbook - Forestal SOLIVA SL - Version 1.1 - 03.05.2019 Listado Proveedores de Madera 2018 Interview</p>	
<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
<b>NC Status:</b>	Open

<b>NC number 2019-07</b>	<b>NC Grading:</b> Minor
<b>Standard &amp; Requirement:</b>	Standard 4 – 6.3.2
<b>Description of Non-conformance and Related Evidence:</b>	
<p>A anti-corruption statement exist in the Anti-corruption Policy. Forestal SOLIVA SL requires its business partners to have proportionate anti-corruption measures in place as well. There is no evidence of policy commitment, for example with subcontractors. It was found that the contracts with subcontractors for timber</p>	



transport are all expired since 03/31/2019, and there is no evidence of occupational accident insurance by them.

Evidence: Anti-corruption Policy Versión 1 03-05-2019; Internal SBP Handbook - Forestal SOLIVA SL - Version 1.1 - 03.05.2019; Interview.

<b>Timeline for Conformance:</b>	By the next surveillance audit, but no later than 12 months from report finalisation date
<b>Evidence Provided by Company to close NC:</b>	<i>Click or tap here to enter description provided by Company to close the NC.</i>
<b>Findings for Evaluation of Evidence:</b>	<i>Click or tap here to enter findings for evaluation of evidence by the auditor.</i>
<b>NC Status:</b>	Open



## 11 Certification decision

<b>Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:</b>	
<b>Certification decision:</b>	Certification approved
<b>Certification decision by (name of the person):</b>	Hubert Jurczyszyn
<b>Date of decision:</b>	16/Aug/2019
<b>Other comments:</b>	<i>Click or tap here to enter text.</i>