



# **Control Union Certifications BV Evaluation of Engie Energy Management SCRL Compliance with the SBP Framework: Public Summary Report**

Third Surveillance Audit

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**The promise of good biomass**



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# 1 Overview

Certification Body (CB) Name:	Control Union Certifications BV
Primary CB contact for SBP:	Robin Rosendahl
Primary CB contact email:	rrosendahl@controlunion.com
Audit team leader:	Diederik Stoel
Audit team members:	Diederik Stoel
Name of the Company:	Engie Energy Management SCRL
Company legal address:	Boulevard Simon Bolivar 34-36, 1000 Brussels, Belgium
Company contact for SBP:	Ralf Dickgreber
Company contact email:	ralf.dickgreber@engie.com
Company website:	N/A
SBP Certificate Code:	SBP-06-14
Date of certificate issue:	08 Mar 2018
Date of certificate expiry:	07 Mar 2023
Audit closing meeting date:	05 Feb 2021
Audit cycle:	Third Surveillance Audit

## 2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	<input type="checkbox"/>
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction	<input type="checkbox"/>
Includes Supply Base Evaluation (SBE):	No	<input type="checkbox"/>
Includes communication of Dynamic Batch Sustainability Data (DBSD)	No	<input type="checkbox"/>
Includes Group Scheme	No	<input type="checkbox"/>
Products	Chips, Pellets	<input type="checkbox"/>

Feedstock types:	N/A	<input type="checkbox"/>
Feedstock origin (countries):	N/A	<input type="checkbox"/>
SBP-endorsed Regional Risk Assessments used:  Public link: <a href="https://sbp-cert.org/documents/standards-documents/risk-assessments/">https://sbp-cert.org/documents/standards-documents/risk-assessments/</a>	Not applicable	<input type="checkbox"/>
Chain of custody system implemented:	PEFC, FSC: N/A	<input type="checkbox"/>
	Transfer	<input type="checkbox"/>

## **2.1 Description of the company**

Engie Energy Management SCRL is a biomass trader. The company is located in Belgium and is part of a worldwide energy group. The company specialises in trading of fuels to supply power production stations. Biomass is part of it. The biomass is currently focused on wood pellets. The trade includes supply to Engie owned power stations (currently Electrabel Rodenhuijze) and sales to third parties. Delivery takes place normally by ocean or sea going vessels. The company is FSC certified (License Code FSC-C129156, Certificate Code CU-COC-833147, Controlled Wood Code CU-CW-833147).

## **2.2 Detailed description of the Chain of Custody system**

The company holds a valid FSC Chain of Custody certificate (CU-COC-833147, CU-CW-833147 and FSC License Code FSC-C129156) and PEFC Chain of Custody certificate CU-PEFC-847063 and PEFC logo license PEFC/07-31-391. Their CoC procedures are the basis for their SBP CoC system. They trade back to back, where the sustainability characteristics will stay linked to the shipment and are noted in their transfer system registration balance. The outgoing claim will be the same as the incoming claim, having the same sustainability characteristics (or without SBP claim in case the buyer is not SBP certified and part of DTS). The GHG for handling and shipping while the material is in their possession will be noted in their SREG. Communication and passing information in the chain will be done with the use of the DTS system.

### **3 Specific objective**

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification and staff involved in SBP trades are competent in working the system.

## 4 Evaluation process

### 4.1 Timing of evaluation activities

<i>Audit Level of Effort (LoE)</i>		
Activity	Auditors	Auditor hours
1. Preparation	Diederik Stoel	6,0
2. On-site (excl. travel time)	Diederik Stoel	6,0
3. Report writing	Diederik Stoel	4,0
4. Other	N/A	N/A

<i>Audit Schedule</i>			
Activity	Location	Auditor name	Date/time
<i>Opening meeting</i>	Remote	Diederik Stoel	05 Feb 2021/09:00
<i>Discussion on the scope certificates and product group definitions.</i>	Remote	Diederik Stoel	05 Feb 2021/09:15
<i>Verification of FSC/SBP procedures, trainings</i>	Remote	Diederik Stoel	05 Feb 2021/09:30
<i>Logo/Trade</i>	Remote	Diederik Stoel	05 Feb 2021/10:00

<i>mark , Complaints procedures,Busi ness integrity, social, health and safety requirements</i>			
<i>Verification of order registration system and transactions</i>	Remote	Diederik Stoel	05 Feb 2021/10:30
<i>Review suppliers documentation</i>	Remote	Diederik Stoel	05 Feb 2021/11:30
<i>Verification of data collection and communication</i>	Remote	Diederik Stoel	05 Feb 2021/12:00
<i>Lunch break</i>	Remote	Diederik Stoel	05 Feb 2021/12:30
<i>Verification and interview stevedore company Ghent Transport and Storage (GTS</i>	Remote	Diederik Stoel	05 Feb 2021/13:00
<i>Verification and interview stevedore company Antwerp Bulk Terminal (ABT),</i>	Remote	Diederik Stoel	05 Feb 2021/13:30
<i>Closing meeting</i>	Remote	Diederik Stoel	05 Feb 2021/14:00
<i>Report Writing</i>	Remote	Diederik Stoel	11 Feb 2021/09:00



Auditor qualification		
Auditor name	Role	Qualification
Diederik Stoel	Lead Auditor	Qualified SBP, FSC and PEFC chain of custody lead auditor

## 4.2 Description of evaluation activities

This was a remote audit due to COVID-19 restrictions and was being undertaken following SBP COVID-19 guidance of 13 March, 2020 and COVID-19 Normative Requirements of 22 April, 2020.

In this remote audit, Control Union used information and communication technology to evaluate all requirements from the annual audit plan to the extent possible. The audit was conducted on the basis of virtual meetings / interviews with relevant people of the certificate holder (by MS Teams), relevant documents and records, and other best available information. Interviews have been taken place with the Manager Logistics, the Biomass Trader and the Chief Technology Officer Biomass. Besides contact persons at GTS and ABT are consulted.

This remote audit consisted of an opening meeting, during which the scope was confirmed. The auditor also explained the methods to be employed during the audit.

After this introduction, all relevant requirements of the applicable SBP standard(s) were verified on compliance through the use of a report template and checklists. Procedures for ports included in the scope were reviewed remotely.

The audit was completed by filling in the audit report and discussing the audit results.

Critical Control points were evaluated and found to be sufficiently managed and a closing meeting performed. Identified CCP and Evaluation CCP:

- Receiving of material in the DTS system
- Collecting sending of SREG information
- Keeping the mass balance (registration): Correct certification system. Correct GHG.
- Selling of the material in the DTS system

### **4.3 Sampling methodology**

Review of documentation, manuals, interview with SBP Manager, DTS check and port verifications

### **4.4 CB stakeholder engagement**

Not applicable, the company is a biomass trader

### **4.5 Stakeholder feedback**

Not applicable, the company is a biomass trader

## **5 Results**

### **5.1 Main strengths and weaknesses**

The audit was conducted in an open and positive environment. The company was well prepared for the audit both in knowledge as in manual and management system. Also the company is involved in the development of the SBP standard. The depth procedures and record keeping are thought to be sufficient for the size and complexity of the company.

### **5.2 Rigour of Supply Base Evaluation**

Not applicable, the company is a biomass trader

### **5.3 Collection and communication of data**

The company has in depth procedures for this. The company uses DTS for communication of data. Their addition to GHG while the biomass is in their possession includes only sea transport. For this they use distance figures from Axsmarine from their shipping department.

### **5.4 Competency of involved personnel**

The company consist of a biofuel trading desk which has the main responsibility related to the SBP system. During the audit the auditee showed clear and in depth understanding of SBP, its procedures and the proper execution of those. They are also involved in the technical committee creating and further developing the SBP standards. Training of staff in FSC and SBP is being done each 6 moths, additionally for the back office.

## 6      Review of company's risk assessments

### 6.1   Overview of company's risk assessments and mitigation measures

Not applicable, the company is a biomass trader

### 6.2   Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

# 7      **Non-conformities and observations**

N/A

## 8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Robin Rosendahl
Date of decision:	30 Apr 2021
Other comments:	N/A