



# NEPCon Evaluation of PROMLESTRANS LLC Compliance with the SBP Framework: Public Summary Report

Main (Initial) Audit

[www.sbp-cert.org](http://www.sbp-cert.org)



**The promise of good biomass**



# Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see [www.sbp-cert.org](http://www.sbp-cert.org)*

## *Document history*

*Version 1.0: published 26 March 2015*

*Version 1.1: published 30 January 2018*

*Version 1.2: published 4 April 2018*

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# 1 Overview

CB Name and contact:	NEPCon OÜ, Filosoofi 31, 50108 Tartu, Estonia
Primary contact for SBP:	Ondrej Tarabus otarabus@nepcon.org, +34 605 638 383
Current report completion date:	16/Sep/2020
Report authors:	Natalia Zaladinova
Name of the Company:	PROMLESTRANS LLC, Legal address: Bezbokova street, 7/1 pom. 1, Irkutsk, Irkutsk oblast 664056, Russia, office address: Pugacheva street, 37, Magistralniy, Kazachinsko-Lenskiy rayon, Irkutsk oblast, 666504, Russia
Company contact for SBP:	Alena Goryunova, SBP responsible, tel: +7 39562 4 02 29, email: goryunovaaa@pltrans.ru
Certified Supply Base:	N/A - Trader
SBP Certificate Code:	SBP-08-19
Date of certificate issue:	17/Sep/2020
Date of certificate expiry:	16/Sep/2020

This report relates to the Main (Initial) Audit

## 2 Scope of the evaluation and SBP certificate

The SBP certificate scope: Trading of wood pellets for use in energy production, including transport from Biomass Producer in Russia to different end points all over the world. The certificate scope does not include Supply Base Evaluation.

The Organization holds valid FSC certificate with transfer system implemented.

### 3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the Biomass Trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations

## 4 SBP Standards utilised

### 4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

### 4.2 SBP-endorsed Regional Risk Assessment

Not applicable

# 5 Description of Company, Supply Base and Forest Management

## 5.1 Description of Company

Organization is a trader without physical possession of wood with its legal address in Irkutsk, Russia. Its representative office in Russia is located in Magistralniy, Irkutsk oblast sourcing the biomass from one pellet producer in Magistralniy, Russia, Irkutsk oblast. The material is delivered by suppliers by train to S.Petersburg sea port and sold to organization, which then sells it to its customers. Delivery conditions are FCA, S.Petersburg sea port (Incoterms 2010).

## 5.2 Description of Company's Supply Base

Not applicable – organisation is trader

## 5.3 Detailed description of Supply Base

Not applicable – organisation is trader

## 5.4 Chain of Custody system

The Organization has implemented the FSC transfer system with biomass (wood pellets) in the scope of the certificate - FC-COC-001515 <https://info.fsc.org/details.php?id=a02f300000m2tNaAAI&type=certificate> . The process covers trade with biomass (FSC 100%), without physical possession. Non-certified biomass (other biomass) is not accepted.



## 6 Evaluation process

### 6.1 Timing of evaluation activities

Desk evaluation was conducted on August 31, 2020 – September, 01, 2020. Evaluation activities included documents review at NEPCon office and staff interviews by phone. The audit took 8 hours including documentation review.

Activity	Location	Date/time
Review documentation before the assessment	Desk audit	31/08/2020 10.00-14.00
Opening meeting by phone	Desk audit	01/09/2020 11.00-11.15
Review documentation	Desk audit	01.09.2020
Staff interviews by phone	Desk audit	01/09/2020 14.00 – 15.00
Closing meeting by phone	Desk audit	01/09/2020 15.00 – 15.15

### 6.2 Description of evaluation activities

Composition of audit team:

Auditor(s), roles	Qualifications
Natalia Zaladinova	Lead auditor NEPCon SBP lead auditor. She successfully passed SBP auditor training course in December 2016 in Amsterdam and participated in a number of SBP assessments and annual audits in Russia.

This was a desk audit conducted by telephone and via phone. Prior to the audit the Organization provided the auditor with documentation related to SBP certification. The auditor reviewed and analyzed the received documents, interviewed responsible staff members and finally provided preliminary results of the audit. The auditor conducted opening meeting by telephone and explained audit plan, goals and tasks of the audit. The closing meeting was also conducted by telephone during which the auditor communicated preliminary results of the audit.

Impartiality commitment: NEPCon commits to using impartial auditors and our clients are encouraged to inform NEPCon management if violations of this are noted. Please see our Impartiality Policy here: <http://www.nepcon.org/impartiality-policy>.

## 6.3 Process for consultation with stakeholders

No stakeholder consultations conducted prior, during and after this audit (n/a for trader).

## 7 Results

### 7.1 Main strengths and weaknesses

Organisation is a trader without physical possession, implementing transfer system of FSC and SBP claims, therefore it is relatively simple certification. Organisation has effective bookkeeping system, so all operations on biomass purchase and sales are clearly identifiable. No energy used for pellets handling etc. Auditor did not identify any weaknesses in Organisation's management systems.

### 7.2 Rigour of Supply Base Evaluation

Not applicable.

### 7.3 Collection and Communication of Data

The Organization will forward the material by railroad. Energy (electricity and diesel) used to deliver the material is identified by the Organization. Organization will forward the energy use data received from its supplier to its customer.

### 7.4 Competency of involved personnel

All staff involved into SBP certification and interviewed during assessment showed good understanding of the requirements in relation to SBP certification and of the FSC CoC system.

### 7.5 Stakeholder feedback

No stakeholder consultations conducted prior, during and after this audit (n/a for traders).

### 7.6 Preconditions

None

## 8 Review of Company's Risk Assessments

*Describe how the Certification Body assessed risk for the Indicators. Summarise the CB's final risk ratings in Table 1, together with the Company's final risk ratings. Default for each indicator is 'Low', click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.*

Not applicable

## 9 Review of Company's mitigation measures

Not applicable

## 10 Non-conformities and observations

*Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:*

- *applicable requirement(s)*
- *grading of the non-conformity (major or minor) or observation with supporting rationale*
- *timeframe for resolution of the non-conformity*
- *a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.*

None

# 11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

<b>Certification decision:</b>	Certification approved
<b>Certification decision by (name of the person):</b>	Ondrej Tarabus
<b>Date of decision:</b>	16/Sep/2020
<b>Other comments:</b>	<i>Click or tap here to enter text.</i>