



SCS Global Services Evaluation of Mohegan Renewable Energy - Uncasville, LLC Compliance with the SBP Framework: Public Summary Report

Scope Change Audit

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Completed in accordance with the CB Public Summary Report Template Version 1.5

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

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1 Overview

Certification Body (CB) Name:	SCS Global Services
Primary CB contact for SBP:	Maggie Shwartz
Primary CB contact email:	mschwartz@scsglobalservices.com
Audit team leader:	Theodore Brauer
Audit team members:	Theodore Brauer
Name of the Company:	Mohegan Renewable Energy - Uncasville, LLC
Company legal address:	13 Crow Hill Road, 06382 Uncasville, United States
Company contact for SBP:	Gerry Amenta
Company contact email:	gamenta@moheganrenewables.com
Company website:	N/A
SBP Certificate Code:	SBP-04-50
Date of certificate issue:	01 Nov 2019
Date of certificate expiry:	31 Oct 2024
Audit closing meeting date:	19 Jul 2021
Audit cycle:	Scope Change Audit

2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	<input type="checkbox"/>
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction	<input type="checkbox"/>
Includes Supply Base Evaluation (SBE):	No	<input type="checkbox"/>
Includes communication of Dynamic Batch Sustainability Data (DBSD)	Yes	<input type="checkbox"/>
Includes Group Scheme	No	<input type="checkbox"/>
Products	Pellets	<input type="checkbox"/>

Feedstock types:	Tertiary, Secondary	<input type="checkbox"/>
Feedstock origin (countries):	United States	<input type="checkbox"/>
SBP-endorsed Regional Risk Assessments used:	Not applicable	<input type="checkbox"/>
Public link: https://sbp-cert.org/documents/standards-documents/risk-assessments/		<input type="checkbox"/>
Chain of custody system implemented:	FSC: SCS-COC-006774	<input type="checkbox"/>
	Transfer	<input type="checkbox"/>

2.1 Description of the company

Mohegan Renewable Energy, LLC (MRE) purchases pellets from its sister pellet mill companies located in Crossville, AL, Jasper, TN, and Quitman, MS. Ownership of pellets from the Crossville and Jasper Plants is taken when they are loaded onto barges at the Port of Gadsden, AL. These pellets are barged to the Port of Mobile, AL, where they are stored on the barges until loading on the customer's vessel. Ownership of the pellets produced by the Quitman Plant is taken at the Port of Mobile, AL, when they are loaded on barges. They are stored on barges until loading onto the customer's vessel. Title to the pellets is transferred to the customer when loaded on their vessel.

2.2 Detailed description of the Chain of Custody system

All material is subject to the organization's COC procedures for sourcing certified and non-certified material. The organization sources material from certified sources under its valid FSC COC certificate.

3 Specific objective

Expansion of scope to include the communication of Dynamic Batch Sustainability Data

4 Evaluation process

4.1 Timing of evaluation activities

<i>Audit Level of Effort (LoE)</i>		
Activity	Auditors	Auditor hours
1. Preparation	Theodore Brauer	2,0
2. On-site (excl. travel time)	Theodore Brauer	1,5
3. Report writing	Theodore Brauer	1,0
4. Other	N/A	N/A

Audit Schedule			
Activity	Location	Auditor name	Date/time
<i>Opening meeting</i>	MS Teams	Theodore Brauer	19 Jul 2021/11:00
<i>Review of ST 5 ID-5D: procedures, staff interviews, material balances and records. DTS.</i>	MS Teams	Theodore Brauer	19 Jul 2021/11:20
<i>Closing meeting preparation</i>	MS Teams	Theodore Brauer	19 Jul 2021/12:15

<i>Closing meeting and review of findings</i>	MS Teams	Theodore Brauer	19 Jul 2021/12.30
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Auditor qualification		
Auditor name	Role	Qualification
Theodore Brauer	Lead Auditor	B.S. in Environmental Sciences, Policy and Management from the University of Minnesota – Twin Cities; lead SBP auditor and for SCS Global Services since 2019.

4.2 Description of evaluation activities

Auditor-selected sample of the following: material tracking system, summary of purchases and sales, invoices, shipping documents, training records, and records, tracebacks from certified outputs to eligible inputs. Interviews with appropriate number and diversity of staff to assess knowledge of CoC procedures related to their position.

4.3 Sampling methodology

N/A - expansion of scope to trader certificate

4.4 CB stakeholder engagement

N/A - expansion of scope to trader certificate

4.5 Stakeholder feedback

N/A - expansion of scope to trader certificate

5 Results

5.1 Main strengths and weaknesses

Mohegan Renewable Resources was well organized and has a good system for communicating DBSD. No weaknesses were identified during the expansion of scope.

5.2 Rigour of Supply Base Evaluation

N/A - expansion of scope to trader certificate

5.3 Collection and communication of data

N/A - expansion of scope to trader certificate

5.4 Competency of involved personnel

Monitoring and managing of Mohegan Renewable Resources is a combined effort of internal team members and external parties. Persons involved are very competent for the development, on-going monitoring, and communication of data.

6 Review of company's risk assessments

6.1 Overview of company's risk assessments and mitigation measures

N/A - expansion of scope to trader certificate

6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

7 Non-conformities and observations

N/A

8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Sebastian Haefele
Date of decision:	20 Jul 2021
Other comments:	N/A