



Control Union Certifications BV Evaluation of Duferco Biomasse Srl Compliance with the SBP Framework: Public Summary Report

Second Surveillance Audit

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Completed in accordance with the CB Public Summary Report Template Version 1.5

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

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1 Overview

Certification Body (CB) Name:	Control Union Certifications BV
Primary CB contact for SBP:	Robin Rosendahl
Primary CB contact email:	rrosendahl@controlunion.com
Audit team leader:	Lennart Holm
Audit team members:	-
Name of the Company:	Duferco Biomasse Srl
Company legal address:	via Roma 99, 12030 Cavallermaggiore, Cuneo, Italy
Company contact for SBP:	Robin Rosendahl
Company contact email:	rrosendahl@controlunion.com
Company website:	N/A
SBP Certificate Code:	SBP-06-26
Date of certificate issue:	18 Apr 2019
Date of certificate expiry:	17 Apr 2024
Audit closing meeting date:	08 Apr 2021
Audit cycle:	Second Surveillance Audit

2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	<input type="checkbox"/>
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction; Instruction Document 5E: Collection and Communication of Energy and Carbon Data 1.3	<input type="checkbox"/>
Includes Supply Base Evaluation (SBE):	No	<input type="checkbox"/>
Includes communication of Dynamic Batch Sustainability Data (DBSD)	No	<input type="checkbox"/>
Includes Group Scheme	No	<input type="checkbox"/>
Products	Chips, Pellets	<input type="checkbox"/>

Feedstock types:	Primary, Secondary	<input type="checkbox"/>
Feedstock origin (countries):	Spain, Portugal	<input type="checkbox"/>
SBP-endorsed Regional Risk Assessments used: Public link: https://sbp-cert.org/documents/standards-documents/risk-assessments/	Not applicable	<input type="checkbox"/>
Chain of custody system implemented:	PEFC, FSC: FSC: ICILA-COC-002941 PEFC: ICILA-PEFCCOC-002913	<input type="checkbox"/>
	Transfer	<input type="checkbox"/>

2.1 Description of the company

Duferco Biomasse is part of the Duferco Goup and deals with woodchips, pellets and timber logs as a trader. The long term goal of the company is capturing value along the chain by getting more involved in forest operations upstream and setting up their own logistics and distribution downstream. Consistent with this approach Duferco Biomasse has set up operations in Italy, Spain, France and Belgium to enable them to control the flow from forest all the way to the end user premises.

2.2 Detailed description of the Chain of Custody system

The organization has implemented FSC transfer and PEFC physical separation systems with biomass (wood chips and pellets) in the scope of their certificates, although only PEFC is the basis for SBP certification. The process covers trade of biomass with physical possession. Valid FSC and PEFC system description and other documents exist. As a trading company, they imports biomass (wood chips and pellets) by sea mainly CIF, with storage.

3 Specific objective

The specific objective of this evaluation was to confirm that Duferco's management system is capable of ensuring that all requirements of the specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of Duferco's management procedures;
- Review of SBP system control points and an analysis of the existing PEFC and FSC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations;
- SREG data collection analysis.

4 Evaluation process

4.1 Timing of evaluation activities

<i>Audit Level of Effort (LoE)</i>		
Activity	Auditors	Auditor hours
1. Preparation	Lennart Holm	2,0
2. On-site (excl. travel time)	Lennart Holm	6,0
3. Report writing	lennart Holm	4,0
4. Other	N/a	N/A

Audit Schedule			
Activity	Location	Auditor name	Date/time
<i>Opening meeting</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/10.00
<i>Business integrity, social, health and safety requirements</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/10.15
<i>Logo/Trademark Use</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/10.45
<i>Complaints procedures</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/11.00

<i>Incoming material claims and raw material registration</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/11.10
<i>Lunch Break</i>	Lunch	N/A	08 Apr 2021/12.00
<i>GHG Data registrations</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/13.00
<i>Chain of Custody registrations</i>	Skype call to the Duferco office	Lennart Holm	08 Apr 2021/13.30

Auditor qualification		
Auditor name	Role	Qualification
Lennart Holm	Lead auditor	Lead GGL, SDE+ and FSC and PEFC FM/COC auditor and successfully passed the SBP auditor training programme

4.2 Description of evaluation activities

This was a remote audit due to COVID-19 restrictions and was being undertaken following SBP COVID-19 Normative Requirements of 30 March, 2021.

In this remote audit, Control Union used information and communication technology (ICT) to evaluate all requirements from the annual audit plan to the extent possible. The audit was conducted on the basis of virtual meetings / interviews with relevant people of the certificate holder, relevant documents and records, and other best available information.

This remote audit consisted of an opening meeting, during which the scope was confirmed. The auditor also explained the methods to be employed during the audit.

After this introduction, all relevant requirements of the applicable SBP standard(s) were verified on

compliance through the use of a report template and checklists. Procedures for ports included in the scope of Duferco were reviewed remotely. All relevant requirements for storage locations at these ports were evaluated and found to be sufficiently managed.

The audit was completed by filling in the audit report and discussing the audit results.

Critical Control points were evaluated and found to be sufficiently managed and a closing meeting performed.

4.3 Sampling methodology

All purchase and sales invoices were reviewed as well as their associated DTS registrations.

4.4 CB stakeholder engagement

N/A

4.5 Stakeholder feedback

N/A

5 Results

5.1 Main strengths and weaknesses

The audit of Duferco demonstrated a good level of compliance with the required criteria of Standard 4 and 5. There was reasonable evidence provided to support compliance where a Non-Conformity was not detected. The existence of a FSC/PEFC Chain of Custody system in combination with ISO9001, ISO14001 and ISO18001 are considered a main strength with respect to Duferco's overall conformity with the relevant SBP standards. No weaknesses were identified.

5.2 Rigour of Supply Base Evaluation

N/A

5.3 Collection and communication of data

Duferco assures the completeness of incoming documentation to forward to their buyers. Duferco is a trader with physical possession, and thus, does handle with the purchased biomass. Duferco forwards to its customer the energy use data, received from their suppliers, supported by the production of the applicable SREG.

5.4 Competency of involved personnel

The company consist of a biomass trading desk of which one has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel.

6 Review of company's risk assessments

6.1 Overview of company's risk assessments and mitigation measures

N/A

6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

7 Non-conformities and observations

N/A

8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Robin Rosendahl
Date of decision:	09 Jul 2021
Other comments:	N/A