



# NEPCon OÜ Evaluation of Sumitomo Corporation Espana SA Compliance with the SBP Framework: Public Summary Report

Main (Initial) Audit

[www.sbp-cert.org](http://www.sbp-cert.org)



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# Completed in accordance with the CB Public Summary Report Template Version 1.5

*For further information on the SBP Framework and to view the full set of documentation see [www.sbp-cert.org](http://www.sbp-cert.org)*

## *Document history*

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# 1 Overview

Certification Body (CB) Name:	NEPCon OÜ
Primary CB contact for SBP:	Ondrej Tarabus
Primary CB contact email:	otarabus@preferredbynature.org
Audit team leader:	Ondrej Tarabus
Audit team members:	Ondrej Tarabus
Name of the Company:	Sumitomo Corporation Espana SA
Company legal address:	calle Infanta Mercedes 90. Planta 7 Madrid, Spain
Company contact for SBP:	Shigeru Matsuo
Company contact email:	shigeru.matsuo@sumitomocorp.com
Company website:	N/A
SBP Certificate Code:	SBP-08-48
Date of certificate issue:	29 Jul 2021
Date of certificate expiry:	28 Jul 2026
Audit closing meeting date:	16 Jun 2021
Audit cycle:	Main (Initial) Audit

## 2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	<input type="checkbox"/>
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction; Instruction Document 5E: Collection and Communication of Energy and Carbon Data 1.3	<input type="checkbox"/>
Includes Supply Base Evaluation (SBE):	No	<input type="checkbox"/>
Includes communication of Dynamic Batch Sustainability Data (DBSD)	No	<input type="checkbox"/>
Includes Group Scheme	No	<input type="checkbox"/>
Products	Chips, Pellets	<input type="checkbox"/>

Feedstock types:	N/A	<input type="checkbox"/>
Feedstock origin (countries):	N/A	<input type="checkbox"/>
SBP-endorsed Regional Risk Assessments used:	Not applicable	<input type="checkbox"/>
Public link: <a href="https://sbp-cert.org/documents/standards-documents/risk-assessments/">https://sbp-cert.org/documents/standards-documents/risk-assessments/</a>		<input type="checkbox"/>
Chain of custody system implemented:	PEFC: Will be issued together with SBP certificate	<input type="checkbox"/>
	Transfer	<input type="checkbox"/>

## **2.1 Description of the company**

Sumitomo Spain is a subsidiary of Sumitomo Japan which is a trading company handling many different products including steel, machinery, vessels, renewable energy, oil and gas but is active also in media business or real estate. Sumitomo Spain is starting to be involved in biomass trade and will be handling SBP certified wood chips but potentially also wood pellets in the future. The biomass is purchased and sold on FOB basis so no physical possession is taken by the organization.

## **2.2 Detailed description of the Chain of Custody system**

The organization has implemented physical separation according PEFC CoC requirements. But it is not foreseen to sourced other than certified biomass. Also, the organization does not plan to take physical possession of the traded material for the time to come and will be acting as trader without physical possession. The material is purchased on a vessel (FOB) and sold in the same port also on FOB incoterms.

### 3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the Biomass Trader's management procedures;
- Review of PEFC system control points, analysis of the existing PEFC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations

## 4 Evaluation process

### 4.1 Timing of evaluation activities

<i>Audit Level of Effort (LoE)</i>		
<b>Activity</b>	<b>Auditors</b>	<b>Auditor hours</b>
1. Preparation	OT	5,0
2. On-site (excl. travel time)	OT	6,0
3. Report writing	OT	5,0
4. Other	N/A	N/A

<b>Audit Schedule</b>			
<b>Activity</b>	<b>Location</b>	<b>Auditor name</b>	<b>Date/time</b>
<i>Opening meeting</i>	Remote	OT	15 Jun 2021/10:00
<i>Documentation review</i>	Remote	OT	15 Jun 2021/10:30
<i>System for reception of certified material</i>	Remote	OT	15 Jun 2021/12:00
<i>System for sales</i>	Remote	OT	15 Jun 2021/13:00



<i>Energy data collection</i>	Remote	OT	15 Jun 2021/14:00
<i>Closing meeting</i>	Remote	OT	15 Jun 2021/16:00

Auditor qualification		
Auditor name	Role	Qualification
Ondrej Tarabus	Lead Auditor	Czech citizen, graduated in University of Life Sciences Prague, The Faculty of Forestry. He has participated in several FSC FM, FSC CoC, PEFC CoC, ISCC certification assessments in Czech Republic, Slovakia, Italy, Germany, Vietnam, Egypt, Spain, Romania, Bosnia and Herzegovina, Austria, etc. Ondřej Tarabus has been through lead assessor SBP training course and is experienced with carbon calculation using standards such as ISO 14 064, Carbon Footprint management or ISCC.

## 4.2 Description of evaluation activities

SBP-related documentation was sent to audit team for review prior to desk based audit.

Audit started with an opening meeting attended by Organisation management.

Audit team leader introduced himself, provided information about audit plan, methodology and aim of the evaluation. CB's accreditation, and COVID-19 interpretation related issues and confidentiality issues were covered as well.

After that auditor went through all applicable requirements of evaluation standards and instruction documents. During the process, both responsible persons for SBP system were interviewed as well as relevant documents reviewed. The CoC critical control points were evaluated during the audit, including the reception of the purchased material, sales, DTS system management, physical segregation and justification why this is not needed and energy data collection.

During the closing meeting audit team leader explained the results of the audit and further actions were discussed.

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### **4.3 Sampling methodology**

The auditor has used full sample when checking the incoming and outgoing volumes as there were very limited invoices.

### **4.4 CB stakeholder engagement**

N/A

### **4.5 Stakeholder feedback**

N/A

## **5 Results**

### **5.1 Main strengths and weaknesses**

Main strengths: Simple and transparent supply chains; PEFC and SBP management systems have been developed and implemented by staff.

Main weaknesses: The organization is considering different trading routes depending on availability of the material and thus the scope of the certificate is quite wide and can not be specific to individual harbours. As a result of that, the management system of the organization needed to be evaluated in broader perspective.

### **5.2 Rigour of Supply Base Evaluation**

N/A

### **5.3 Collection and communication of data**

The organization is only responsible for transport in some very specific cases where the buyer ask to cover the sea transport and deliver the material from the port where chips are accumulated to the port of destination. In such case the organization has a system in place to collect all the required data and present these in form of SREG to the client.

### **5.4 Competency of involved personnel**

The SBP responsible staff has shown good understanding of the requirements in relation to SBP certification and PEFC CoC system

## 6 Review of company's risk assessments

### 6.1 Overview of company's risk assessments and mitigation measures

N/A

### 6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

## **7 Non-conformities and observations**

N/A

## 8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
<b>Certification decision:</b>	Certification approved
<b>Certification decision by (name of the person):</b>	Pilar Gorriá
<b>Date of decision:</b>	30 Jun 2021
<b>Other comments:</b>	N/A